Department of Health & Human Services Administration for Children and Families

Program Office: Office of Family Assistance

Funding Opportunity Promoting Responsible Fatherhood

Title: Community Access Program

Announcement Type: Initial

Funding Opportunity HHS-2006-ACF-OFA-FR-0144

Number:

CFDA Number: 93.086

Due Date For Letter of 06/15/2006

Intent:

Due Date for 07/17/2006

Applications:

Executive Summary:

The Administration for Children and Families (ACF), Office of Family Assistance (OFA), announces the availability of competitive grant funds to support the Promoting Responsible Fatherhood Community Access (FCA) Program. These grants (in the form of cooperative agreements) are for innovative, well-designed projects that promote the objectives of the ACF Fatherhood Initiative.

The Deficit Reduction Act of 2005 (Public Law (P.L.) 109-170) amends Title IV, Section 403(a)(2)(C) of the Social Security Act (42.U.S.C. 603(a)(2)) to authorize competitive grants for States, Territories, Indian Tribes, Tribal organizations and Public and Non-Profit Community Entities, including faith-based organizations, to develop and implement projects that support any of the three authorized activity areas: Healthy Marriage, Responsible Parenting, and Economic Stability. The context for these activities is to create an environment that contributes to the well-being of children.

I. FUNDING OPPORTUNITY DESCRIPTION

Legislative Authority

The Administration for Children and Families, (ACF), Office of Family Assistance (OFA), announces the availability of competitive grant funds to support the Fatherhood Community Access (FCA) Program. These grants (in the form of cooperative agreements) are for innovative, well-designed projects that promote the objectives of the ACF Fatherhood Initiative.

The Deficit Reduction Act of 2005 (P.L. 109-170) amends Title IV, Section 403(a)(2)(C) of the Social Security Act (42.U.S.C. 603(a)(2)) to authorize competitive grants for States, Territories, Indian Tribes, Tribal Organizations and Public and Non-Profit Community Entities, including faith-based organizations, to develop and implement projects that support any of the following three authorized activity areas:

1. Healthy Marriage

Activities to promote healthy marriage or sustain marriage, such as:

- Skill-based marriage education;
- Marriage preparation programs;
- Marital inventories:
- Premarital counseling;
- Relationship skill education with a special focus on how good relationship skills help to prepare men and women for healthy marriages;
- Counseling, mentoring and information dissemination about the benefits of marriage and two-parent involvement for children;
- Education regarding how to control aggressive behavior within the context of marriage, with a special focus on reducing and eliminating aggressive behavior and how it can contribute to healthy marriages; and
- Divorce education and reduction programs, including mediation and counseling.

2. Responsible Parenting

Activities to promote responsible parenting, such as:

- Skill-based parenting education;
- Disseminating information about good parenting practices;
- Counseling, mentoring and mediation;
- Disseminating information on the causes of domestic violence and child abuse; and
- Encouraging child support payments.

3. Economic Stability

Activities to foster economic stability, such as:

- Helping fathers improve their economic status by providing activities, such as Work First services, job search, job training, subsidized employment, job retention and job enhancement; and encouraging education, including career-advancement education;
- Coordinating with existing employment services, such as welfare-to-work programs, referrals to local employment training initiatives;
- Disseminating employment materials; and
- Offering financial planning seminars, including those that improve a family's ability to effectively manage family business affairs through education, counseling, or mentoring on matters related to family finances, including household management, budgeting, banking and handling of financial transactions and home maintenance.

Applicants will be required to provide a ten percent cost share of the total approved project cost. The required cost share may be cash or in-kind. Eligible applicants may submit one or more applications in response to this announcement. This program announcement has two priority areas:

- 1. Fatherhood Community Access (FCA) Grants, Level 1
- 2. Fatherhood Community Access (FCA) Grants, Level 2

Applications in each priority area will be evaluated against the criteria set forth in this program announcement. In general, eligible organizations are States, Territories, Indian Tribes and Tribal

Organizations, and public and non-profit community entities, including faith-based organizations.

A faith-based organization is eligible to apply for, and to receive a grant on the same basis as any other private organization, with respect to programs for which such other organizations are eligible. In the selection of a grantee, ACF shall not discriminate for or against a private organization on the basis of the organization's religious character or affiliation. A faith-based organization that is eligible for and receives a grant may retain its independence, autonomy, right of expression, religious character, and authority over its governance. However, Federal funds under this grant announcement shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization.

Background:

While society has overwhelmingly viewed mothers as essential to the well-being of their children, fathers are also vital to the well-being of their children. Research findings indicate that children who live with their biological fathers are, on average, at least two to three times more likely not to be poor, less likely to use drugs, less likely to experience educational, health, emotional and behavioral problems, less likely to be victims of child abuse, and less likely to engage in criminal behavior than their peers who live without their married, biological (or adoptive) parents. These differences are observed even after controlling for socioeconomic variables such as race and income.

Clearly, fathers make unique and irreplaceable contributions to the lives of their children. Involved fathers provide practical support in raising children and serve as models for their development. Children with involved, loving fathers are significantly more likely to do well in school, have healthy self-esteem, exhibit empathy and pro-social behavior compared to children who have uninvolved fathers. Committed and responsible fathering during infancy and early childhood contributes to emotional security, curiosity, and math and verbal skills.

Yet, nearly 20 million children (27 percent) live in single-parent homes. For the first time in our nation's history, more than half of our children will spend a significant portion of their childhood living apart from their fathers. An estimated 40 to 50 percent of all marriages end in separation or divorce, affecting approximately one million children each year. The Centers for Disease Control and Prevention (CDC) has reported that in 2004, births to unmarried women increased to 35.7

percent of all births, reaching a record high of almost 1.5 million births.

The demands on parents, married or single, have implications for their children. American parents today spend roughly 40 percent less time with their children than parents did a generation ago. According to one study conducted by the Minnesota Research Institute, almost 20 percent of 6th through 12th graders had not had a good conversation lasting at least 10 minutes with at least one of their parents in more than a month.

Marital and parent-child relationships are at especially high risk of disruption when parents are involved in the criminal justice system. Department of Justice data indicates that over seven million children have a parent in prison, jail, or on probation or parole. Almost 20 percent of prisoners were married at the time of their incarceration, and another 20 percent were living with their children and life partners as well. Most of these parents are released while their children are still minors. For those who want to continue their family relationships when they are released, there is little institutional support to assist them to make the transition back into their families and society.

There is mounting evidence that children raised by their married, biological parents fare better on many outcome measures and that marriage is a key source of greater economic security, health and happiness for adults, and a vital resource for healthy communities. In 1996, Congress incorporated family formation and the maintenance of two parent families as key components in the welfare reform legislation, which created the Temporary Assistance for Needy Families (TANF) program, and was reauthorized under the Deficit Reduction Act (DRA) of 2005. The DRA provides funding to develop and implement healthy marriage and fatherhood programs that improve the well-being of children.

The Fatherhood Community Access (FCA) Program is administered by OFA in ACF. This program complements other programs administered by OFA by encouraging the formation and maintenance of two-parent families, supporting involved parenting and promoting economic stability.

Program Purpose

The Fatherhood Community Access (FCA) Program purpose is to promote responsible fatherhood by funding programs that support healthy marriage activities, promote responsible parenting and foster economic stability. The FCA grantee will coordinate with faith-based

and community organizations to provide services. The grantee may accomplish this objective by collaborating with faith-based and community organizations that provide services on the local level.

These services should be designed to enable fathers to improve their relationships and reconnect with their children. The FCA grantee will coordinate with local organizations to ensure the delivery of services that help fathers overcome obstacles and barriers that often prohibit them from being the most effective and nurturing parents. While the primary goal of the initiative is to promote responsible fatherhood in all of its various forms, an essential point is to provide services that encourage responsible fatherhood within the context of marriage. Research shows that two-parent married families are the most effective environment for raising children.

Program Scope

ACF seeks to fund a wide spectrum of innovative proposals. To be innovative, applicants may propose a unique or distinctive approach for delivering services to a specific population or in a specific setting. Alternatively, innovative projects may demonstrate whether a program or service that has been successfully implemented in one setting, can work in a different context. Innovative projects may also pilot an approach that reflects a new way of thinking about responsible fatherhood. The scope of these projects can be broad and comprehensive or narrow and targeted to specific populations. Target populations may include one or more of the following groups: married fathers, single or unmarried fathers, cohabitating fathers, young or teenage fathers, and new fathers or fathers-to-be. ACF seeks to fund a diverse selection of programs that cover a wide spectrum from married fathers and unmarried fathers residing in the home to single fathers living apart from their children. ACF especially encourages applications from programs designed to work with fathers of children with disabilities.

ACF seeks clear, well designed proposals that provide thorough descriptions of how the approach will be implemented, including reasonable plans for project marketing and outreach, participant recruitment, the type of activities and services to be offered, staffing and training, partnering with other organizations and appropriately tailoring programs to the characteristics of the people and organizations targeted. Applicants should also demonstrate their ability to coordinate with any evaluation requirements and efforts. Overall, ACF encourages applicants to be flexible and creative during the program development process. At the same time, that creativity

should be molded and shaped to produce a thoughtful, well-crafted plan.

There is a need for credible information with regard to how to design fatherhood services for diverse groups. ACF seeks proposals that will help determine the best ways to promote responsible fatherhood broadly across diverse populations. A project proposal should present a clear picture of how the proposed program will work. It should describe the sequence of activities that will be used to support the desired behaviors and outcomes, and show how these activities are linked to the results that the program is expected to achieve. As with other aspects of the project, the adaptations necessary to make programs more accessible are likely to be unique to the target population.

Projects targeting diverse groups may include:

- Making services available to low-income fathers residing in the home with their children;
- Developing culturally-competent programs for minorities;
- Creating programs for teen fathers that are both engaging and informative; and
- Developing effective programs for incarcerated fathers.

Summary of Program Priority Areas

The FCA program will strategically fund an array of efforts, ranging from small to large, across various communities. Listed below is a description of the two priority areas that will receive grant awards. Applicants should carefully review these areas in addition to the three authorized activities, Healthy Marriage, Responsible Parenting, and Economic Stability.

1. Fatherhood Community Access Grants, Level 1

Under this priority area, grants will be awarded to State agencies or other large organizations that have the capacity to ensure the delivery of services by developing and supporting faith-based and community organizations that promote responsible fatherhood at the local community level. These organizations will serve as the lead for a network of faith-based and community organizations, who formally work together to coordinate the development and implementation of services at the grass-roots level. The lead organization will provide

technical and financial support through a collaborative approach with grass roots organizations and the Federal government, to support the development of a fatherhood service delivery network capable of expanding new and existing programs and services at the local level. Program and service expansion may include but is not limited to: (1) program/model replication; (2) increase community outreach; and (3) increase service capacity. The FCA grantee will provide technical and financial suport to various agencies in order to collectively provide services that support responsible fatherhood through the implementation of one activity or the simultaneous implementation of two or more of the three authorized activity areas. The funding level will be up to \$2,000,000 each for up to two grants.

2. Fatherhood Community Access Grants, Level 2

Under this priority area, grants will be awarded to State agencies or other large organizations that have the capacity to ensure the delivery of services by developing and supporting faith-based and community organizations that promote responsible fatherhood at the local community level. These organizations will serve as the lead for a network of faith-based and community organizations, who formally work together to coordinate the development and implementation of services at the grass-roots level. The lead organization will provide technical and financial support through a collaborative approach with grass roots organizations and the Federal government, to support the development of a fatherhood service delivery network capable of expanding new and existing programs and services at the local level. Program and service expansion may include but is not limited to: (1) program/model replication; (2) increase community outreach; and (3) increase service capacity. The FCA grantee will provide technical and financial support to various agencies in order to collectively provide services that support responsible fatherhood through the implementation of one activity or the simultaneous implementation of two or more of the three authorized activity areas. The funding level will be \$1,000,000 each for up to three grants.

Applicants must clearly specify the priority area and funding level sought in their request for program funding. The applicant must also clearly document the capacity and ability to use the funding amount requested for the proposed program.

General Program Requirements

The following requirements must be met to be considered for these competitive grants. Please, review the requirements carefully.

Assurances for Voluntary Participation

Applicants must describe what they will do to ensure that participation in fatherhood programs or activities is voluntary and how they will inform potential participants that their involvement is voluntary. Failure to comply with this requirement will result in a score of "0" under the evaluation criteria, "Approach."

Assurances for Domestic Violence Consultation

Applications must include evidence of a commitment for consultation with one or more experts on domestic violence prevention or with domestic violence coalitions in developing activities or materials. Applicants must describe how the proposed programs or activities will address issues of domestic violence. Failure to comply with this requirement will result in a score of "0" under the evaluation criteria, "Approach."

Use of Funds

Applications must provide a commitment that funds will not be used for any other purpose other than those listed in the legislation. Failure to comply with this requirement will result in a score of "0" in the "Approach" under the evaluation criteria.

Program Access

Applicants must provide services to all eligible persons, regardless of a potential participant's race, gender, age, disability or religion. Applicants cannot, on the basis of race, gender, age, disability or religion, treat one person differently from another in determining eligibility, benefits or services provided, or applicable rules. The projects and activities assisted under these awards must be available to mothers and expectant mothers who are able to benefit from the activities on the same basis as fathers and expectant fathers.

Start Up

Projects funded under this announcement must begin operations within 90 days following notification of the grant award.

Additional Requirements

All grantees must make themselves available for the following activities:

Quantitative and Qualitative Evaluation

Entrance and Annual Peer Meetings

On-site ACF-sponsored technical assistance

Quantitative and Qualitative Evaluation

All grantees will fully participate in *quantitative or monitoring activities* that capture measurable indicators and outcomes. ACF will require a consistent measuring system across all funded programs. Before finalizing that system, ACF will gather suggestions from grantees and the public, create a format, and seek approval from the OMB. At that point, grantees will be informed of the measurements to be used.

ACF believes that grantee activities can increase our national knowledge about fatherhood programs and may sponsor evaluations to build the knowledge base about what works in Responsible Fatherhood programs. Toward this end, some approved grantees will be selected to participate in a more *in-depth evaluation study*. These grantees will be selected after grant awards are made. All approved grantees must agree to work cooperatively with ACF and with contractors hired by ACF to conduct evaluations. Involvement may include allowing for a random assignment of participants to either grant program activities or control groups who do not receive grantee services. Involvement might also include access to more detailed project-related information and data, including but not limited to, information about access, attendance, and outcome measures. Grantees selected for the evaluation study will likely participate in interviews, surveys, and on-site observations by evaluators.

Working cooperatively with ACF-sponsored evaluators includes agreeing to use standardized data collection instruments, collecting and providing personal information for data-matching and adjusting projects to use the random assignment of individuals or couples to Responsible Fatherhood programs or control groups, if required, where participants in the program group would receive services funded under this announcement.

Participation in an ACF-sponsored evaluation may also require providing client identifiers to the State Unemployment Insurance agency or other agencies for the purpose of collecting wage and earnings data or other data. Other data-matching may be required as well. Therefore, as a routine practice, applicants should be prepared to get voluntary consent from participants for release of personal information for evaluation purposes.

ACF anticipates the need for the collection of consistent information on grant activity, including but not limited to, services provided and outcomes associated with services supported through the grants awarded. ACF will seek comments on the proposed information collection plan and approval by OMB. Approved grantees shall be informed of the information collection requirements once approved by OMB.

Entrance and Annual Peer Meetings

The initial meeting (*Entrance Conference*) will be held within the first three months of the official award date. In addition to attending the annual grantees' meeting, grantees will be expected to attend an annual ACF sponsored technical assistance event. In general, these meetings will be two to three days. Please note that applicants are required to allocate sufficient funding within their budget to attend the annual meetings.

Both the project director and the key staff person responsible for tracking and documenting progress toward project milestones and outcomes must attend all meetings. Applicants must allocate sufficient funding in their proposed program budget for travel, to cover transportation and per diem expenses for each of these two-three day meetings in the event they receive a grant award. Additional funds for travel expenses will not be made available once grants are awarded.

On-site ACF-sponsored technical assistance

Successful applicants must be available to receive ACF sponsored technical assistance for Responsible Fatherhood projects. Technical assistance is a comprehensive set of consulting services that are available to help public and private entities succeed in implementing their programs. These consulting services may include operational or management assistance given to aid in financial planning, program planning, program advice, marketing, information systems and other aids to management. Assistance may be offered directly by an ACF staff member or contractor.

Primary areas of technical assistance that are available include:

- Strategic Planning: Identification of goals and objectives and development of corresponding plans of action;
- Coalition Building: Strategies for building coalitions with public and private partners and "how-to" guidance;

- Fatherhood and Marriage Experts: Meetings and consultations with fatherhood and marriage experts and program providers;
- Domestic Violence Prevention: Developing protocols for domestic violence; and
- Evaluation and Performance Measurement: Development of performance measures and evaluation design options, assistance with local evaluation plans.

Marriage Skills Training Curriculum

Applicants applying under the Healthy Marriage authorized activity area should note the following:

ACF's overall goal is to help couples who have chosen marriage for themselves gain greater access to marriage education services, where they can acquire the skills and knowledge necessary to form and sustain healthy marriages. To accomplish this, ACF is particularly interested in funding marriage education and marriage enrichment projects in which the primary focus is on couples. This includes, but is not limited to, engaged couples, couples interested in marriage, married couples and newly married couples. Applicants proposing to implement marriage education services must provide interventions that are skill-based and designed to increase the likelihood of healthy marriage formation and long-term marital satisfaction. The marriage education or marriage preparation intervention must include curricula designed to help couples learn and apply skills that will:

- Improve communication between couples;
- Improve the couple's ability to resolve their conflicts; and
- Strengthen the couple's commitment to increasing marital stability.

In addition, the curricula must deliver a minimum of eight hours of instruction or the number of hours established within the guidelines required by the author of the selected curriculum model. It must also be delivered over time, in no less than the number of days established within the guidelines required by the author of the selected model.

ACF is interested in funding projects that have had successful experience with delivering skill-based marriage education services.

Priority Area 1:

Fatherhood Community Access (FCA) Grants, Level 1

Description

ACF has designated \$4,000,000 for Fatherhood Community Access Grants to be awarded to States, Territories, Indian Tribes and Tribal Organizations, public and non-profit community entities, including religious organizations, for activities promoting responsible fatherhood. These grants will be awarded in amounts up to \$2,000,000.

The FCA grantees will develop and support local community responsible fatherhood initiatives by providing technical and financial support to small community organizations in the three authorized activity areas outlined within the Responsible Fatherhood legislation.

1. Healthy Marriage

Activities to promote healthy marriage or sustain marriage, such as:

- Skill-based marriage education;
- Marriage preparation programs;
- Marital inventories;
- Premarital counseling;
- Relationship skill education with a special focus on how good relationship skills help to prepare men and women for healthy marriages;
- Counseling, mentoring and information dissemination about the benefits of marriage and two-parent involvement for children;
- Education regarding how to control aggressive behavior within the context of marriage, with a special focus on how controlling aggressive behavior can contribute to healthy marriages; and
- Divorce education and reduction programs, including mediation and counseling.

2. Responsible Parenting

Activities to promote responsible parenting, such as:

- Skill-based parenting education;
- Disseminating information about good parenting practices;
- Counseling, mentoring, and mediation;
- Disseminating information on the causes of domestic violence and child abuse; and
- Encouraging child support payments.

3. Economic Stability

Activities to foster economic stability, such as:

- Helping fathers improve their economic status by providing activities, such as Work First services, job search, job training, subsidized employment, job retention and job enhancement; and encouraging education, including career advancement education;
- Coordinating with existing employment services, such as welfare-to-work programs and referrals to local employment training initiatives;
- Disseminating employment materials; and
- Offering financial planning seminars, including those that improve a family's ability to effectively manage family business affairs through education, counseling, or mentoring on matters related to family finances, including household management, budgeting, banking and handling of financial transactions and home maintenance.

For purposes of this announcement, Fatherhood Community Access (FCA) organizations may issue technical and support to local community organizations that:

- 1. Have social services as a major part of their mission;
- 2. Are headquartered in the local community to which they provide these services;
- 3. Have a total annual operating budget of \$300,000 or less, or
- 4. Have six or fewer full-time equivalent employees.

FCA grantees may provide financial support to faith-based, local community, and grass-roots organizations in amounts up to \$50,000 for service delivery. ACF expects that at least 50% of the federal funds awarded will be used to financially support service delivery at the local community level.

FCA organizations must provide at least 70 percent of their funds to community organizations which may include grassroots or faith-based agencies. FCA grantees should fund fatherhood community access projects that are innovative, well-designed and accessible to the target group identified within the submitted proposal. ACF anticipates that the approaches proposed will reflect the unique needs and circumstances of the targeted populations. Organizations selected by the FCA grantee for technical and financial support should demonstrate that the local community organizations have consulted with a domestic violence expert or local domestic violence coalition. This consultation may be provided by the FCA grantee's domestic violence expert in the form of technical assistance.

ACF seeks to award organizations that will provide services through a collaborative approach with other community organizations serving as project partners to the ACF grantee with which they collectively provide services. Successful applicants will demonstrate an ability to coordinate with their project partners to ensure the delivery of services.

ACF is seeking applications from organizations that demonstrate an ability to work with a diverse set of project partners. For example, successful applicants may be those that partner with a number of community groups, cover a wide or particular geographic area, work with a variety of organizations, and/or utilize a number of different approaches, etc.

Recipients of the ACF awards will be accountable for the Federal grant funds received, including those dispersed to project partners. Recipients of the ACF awards will also be responsible for oversight of the various projects, programs, and activities supported by the award as required by Title 45 of the Code of Federal Regulations, Parts 74.51(a) and 92.40(a).

Successful FCA applicants will demonstrate that they have the experience and capacity to administer and effectively monitor selected organizations. ACF seeks to fund proposals that present a clear plan outlining how the FCA organization will: (1) effectively and efficiently collaborate with faith-based and community organizations in order to provide services; and (2) provide those selected organizations with

technical assistance. The proposed technical and financial support to provide such services should be included in the FCA grantee's proposed budget and should be provided at no cost to the selected organizations.

FCA grantees must provide a fair and open competitive process. Selected community organizations must not be pre-selected and technical assistance should be provided to selected community organizations on an ongoing basis. Any outreach and assistance to organizations applying for or receiving technical and financial support from the FCA grantee must be open to both faith-based and community non-profit organizations, and not discriminate for or against an organization on the basis of the organization's religious character or affiliation.

FCA grantees must also submit plans and procedures for the issuance of any funds to selected organizations within 90 days of the receipt of an award. FCA organizations must not require applicants to provide matching funds or give them a preference in the selection process even if they offer matching funds in their applications.

FCA grantees must not require applicants to have 501(c)(3) status or to identify a sponsoring organization with 501(c)(3) status.

FCA applicants may design their proposals to be as broad or as focused as they determine necessary to meet the needs of their target populations. An applicant may choose to take a broad approach by designing a program that implement two or more of the authorized activity areas or take a focused approach and target specific populations such as youth, low-income families, unwed parents, etc. The following examples highlight some different approaches applicants may consider in implementing their fatherhood activities:

- A non-profit foundation develops a program to fund small community organizations to support parenting and relationship enhancement classes to low-income fathers.
- A State implements a program to fund local school districts within the State to implement before or after school responsible fatherhood activities for teen fathers.
- A local branch of a national charity organization develops a program for various community agencies to implement a project that encompasses any of the three authorized activity areas.

The examples above are by no means exhaustive nor are they a standard for what ACF intends to fund. The examples are offered merely to provide a vision of how innovative and flexible applicants can be in designing programs to meet the objectives of this initiative.

II. AWARD INFORMATION

Funding Instrument Type: Cooperative Agreement

Substantial Involvement with Cooperative Agreement:

A cooperative agreement is a variation of a discretionary grant, which is awarded when the Federal Government anticipates substantial program management involvement with the grantee during the performance of a funded project.

This cooperative agreement will require a close working relationship between ACF and the successful FCA organization. It will be necessary for ACF to collaborate with the FCA organization to facilitate relationships and exchange information necessary to promote the Fatherhood Community Access Grants Program. ACF will work closely with the FCA organization to identify the types of technical assistance and training to be made available. ACF, together with the FCA organization will sponsor appropriate meetings to promote coordination, information sharing, program implementation and program effectiveness. ACF will work together with the FCA organization to address issues or problems with regard to the applicant's ability to effectively and efficiently carry out the full range of activities included in the applicant's proposal.

The FCA organization selected to receive an award will be responsible for implementing activities described in the project description of the approved application; developing and implementing any work plans requested by ACF that will ensure that the services and activities included in the approved application address the needs of community organizations in an efficient, effective, and timely manner; submitting for Federal review and approval, within 90 days of receipt of the Financial Assistance Award and prior to the issuance of any plans and procedures for funding any community organization(s) activities; submitting regular semi-annual financial status and progress reports that describe project activities; working cooperatively and collaboratively with ACF officials, other Federal agency officials conducting related activities, and other entities or organizations

contracted by ACF to assist in carrying out the purposes of the Fatherhood Community Access program; and ensuring that key staff attend and participate in ACF-sponsored workshops and meetings, including the initial entrance conference.

ACF will receive, review and provide prior approval of the successful applicant's plans and procedures for the issuance of any assistance (e.g. financial, technical). ACF will facilitate collaboration between and among organizations that conduct Fatherhood and Healthy Marriage related activities.

Funding Instrument Type: Cooperative Agreement

Anticipated Total Priority Area \$4,000,000

Funding:

Anticipated Number of Awards: 1 to 2

Ceiling on Amount of Individual \$2,000,000 per budget period

Awards:

Floor on Amount of Individual \$1,000,000 per budget period

Awards:

Average Projected Award Amount: \$1,000,000 per budget period

Length of Project Periods: 60-month project with five 12-month

budget periods

This announcement invites applications for five-year project periods (up to \$2,000,000 per year for five years). In the first year of the project, grants will be awarded on a competitive basis. Continuation grant applications will be considered on a noncompetitive basis for years two through five subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for one-year budget periods throughout the project.

Applicants should also note that any program income generated through this grant must be added to the Federal funds committed to the project and used to further the objectives of the project as outlined in 45 CFR 74.24(b).

Awards under this announcement are subject to the availability of funds.

III. ELIGIBILITY INFORMATION

1. Eligible Applicants:

- State governments
- County governments
- City or township governments
- Special district governments
- Independent school districts
- Public and State-controlled institutions of higher education
- Native American Tribal governments (Federally recognized)
- Native American Organizations (other than Federally recognized tribal governments)
- Public housing authorities/Indian housing authorities
- Non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education
- Non-profits that do not have 501(c)(3) status with the IRS
- Others (See below)

Faith-based and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement.

2. Cost Sharing or Matching: Yes

Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. For example, in order to meet the match requirements, a project with a total approved project cost of \$1,000,000, requesting \$900,000 in ACF funds, must provide a non-Federal share of at least \$100,000 (10 percent of total approved project cost of \$1,000,000.) Grantees will be held accountable for commitments of non-Federal resources even if they exceed the amount of the required match. Failure to provide the required amount will result in the disallowance of Federal funds. A lack of supporting documentation at the time of application will not exclude the application from competitive review.

3. Other:

D-U-N-S Requirement

All applicants must have a D&B Data Universal Numbering System (D-U-N-S) number. On June 27, 2003, the Office of Management and Budget (OMB) published in the *Federal Register* a new Federal policy applicable to all Federal grant applicants. The policy requires Federal grant applicants to provide a D-U-N-S number when applying for Federal grants or cooperative agreements on or after October 1, 2003. The D-U-N-S number will be required whether an applicant is submitting a paper application or using the government-wide electronic portal, <u>Grants.gov</u>. A D-U-N-S number will be required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement, and block grant programs, submitted on or after October 1, 2003.

Please ensure that your organization has a D-U-N-S number. You may acquire a D-U-N-S number at no cost by calling the dedicated toll-free D-U-N-S number request line at 1-866-705-5711 or you may request a number on-line at http://www.dnb.com.

Proof of Non-Profit Status

Non-profit organizations applying for funding are required to submit proof of their non-profit status.

Proof of non-profit status is any one of the following:

- A reference to the applicant organization's listing in the IRS's most recent list of tax-exempt organizations described in the IRS Code.
- A copy of a currently valid IRS tax-exemption certificate.
- A statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.
- Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.

When applying electronically, we strongly suggest that you attach your proof of non-profit status with your electronic application.

Private, non-profit organizations are encouraged to submit with their applications the survey located under *Grant Related Documents and Forms: Survey for Private, Non-Profit Grant Applicants, titled, Survey on Ensuring Equal Opportunity for Applicants,* at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

Disqualification Factors

Applications that exceed the ceiling amount will be deemed nonresponsive and will not be considered for funding under this announcement.

Any application that fails to satisfy the deadline requirements referenced in *Section IV.3* will be deemed non-responsive and will not be considered for funding under this announcement.

IV. APPLICATION AND SUBMISSION INFORMATION

1. Address to Request Application Package:

OFA Operations Center c/o The Dixon Group Office of Family Assistance 118 Q Street, NE Washington, DC 20002

Phone: 866-770-8458

Email: ofa@dixongroup.com

2. Content and Form of Application Submission:

Letters of Intent

Applicants are strongly encouraged to notify the OFA Operations Center, c/o The Dixon, Group, 118 Q Street, NE, Office of Family Assistance, Washington, DC 20002, or via email: ofa@dixongroup.com, of their intention to submit an application under this announcement. Please submit the letter of intent by the deadline date listed in *Section IV.3*.

The letter of intent should include the number and title of this announcement, the applicant's organizational name and address, one to two sentences stating that the applicant organization intends to submit an application for this grant, and the contact person's name, phone number, fax number and email address.

Letter of intent information will be used to determine the number of expert reviewers needed to evaluate applications. Failure to submit a letter of intent will not affect eligibility to submit an application and will not disqualify an application from competitive review.

Application Content

Each application must include the following components and in the following order:

- 1. Table of Contents.
- 2. Abstract of Proposed Project A very brief description not to exceed 250 words. The abstract would be suitable for use in an announcement that the application has been selected for a grant award and identifies the type of project, the target population, and the major elements of the work plan. Use plain language that is easy for non-experts to understand.
- 3. Application for Federal Assistance, SF-424 Must be completed and signed by an official of the organization applying for the grant who has authority to obligate the organization legally.
- 4. Budget Information, Non-Construction Programs, SF-424A Must be completed.
- 5. Project Narrative A narrative that addresses the criteria described in *section V.1*, Criteria.
- 6. Narrative Budget Justification A narrative that addresses criteria described in *section V.1*, Criteria.
- 7. Supporting Documents These may include organizational charts, financial statements, letters of support, third-party agreements, and resumes of key staff. The content to include in the supporting documents is described in section V.1, Criteria.
- 8. Additional Certification, Assurances and Disclosure Forms.

Page Limitation

The total length of the application (including SF-424 and SF-424A, project abstract, table of contents, budget information, project narrative) and supporting documents (e.g., letters of support, third-party agreements, resumes or additional certification, assurance and disclosure forms) must not exceed

60 pages in length. Any pages exceeding this limit will be removed and not provided for panel review.

Application Format

Submit application materials on white 81/2 x 11 inch paper only. Do not use colored, oversized or folded materials.

Please do not include organizational brochures or other promotional materials, slides, films, clips, etc.

A standard font such as Times New Roman must be used. The font size must not be smaller than 12-point. The margins must be at least one inch on all sides. Project and budget narrative sections must be double-spaced.

Number all application pages sequentially throughout the package, beginning with the abstract of the proposed project as page number one. All application pages including government forms and attachments should be numbered.

Arrange all materials in the order listed in the **Application Content** section above.

Applicants are encouraged to use job titles and not specific names in developing the application budget. However, specific salary rates or amounts for staff positions identified must be included in the application budget.

Supporting documents (e.g., letters of support, third-party agreements, resumes) should follow the same general guidelines but may be single-spaced. Letters of support may use the supporting organizations' letterhead. If copies of third-party agreements are lengthy, the applicant may substitute an annotated list of those agreements briefly summarizing with whom the agreement is, the scope of work to be performed, work schedules and remuneration, and any other core aspects of the agreement that defines the nature of the relationship.

Forms and Certifications

The project description should include all the information requirements described in the specific evaluation criteria outlined in this program announcement under *Section V.* Application Review Information. In addition to the project description, the applicant needs to complete all of the Standard Forms required as part of the application process for awards under this announcement.

Applicants seeking financial assistance under this announcement must file the appropriate Standard Forms as described in this section. All applicants must submit SF-424, Application for Federal Assistance. For non-construction programs, applicants must also submit SF-424A, Budget Information and SF-424B, Assurances. For construction programs, applicants must also submit SF-424C, Budget Information and SF-424D, Assurances. The forms may be reproduced for use in submitting applications. Applicants must sign and return the standard forms with their application.

Applicants must furnish prior to award an executed copy of the SF-LLL, Certification Regarding Lobbying, when applying for an award in excess of \$100,000. Applicants who have used non-Federal funds for lobbying activities in connection with receiving assistance under this announcement shall complete a disclosure form, if applicable, with their application. Applicants must sign and return the certification with their application.

Applicants must also understand that they will be held accountable for the smoking prohibition included within Public Law (P.L.) 103-227, Title XII Environmental Tobacco Smoke (also known as the PRO-KIDS Act of 1994). A copy of the *Federal Register* notice that implements the smoking prohibition is included with this form. By signing and submitting the application, applicants are providing the necessary certification and are not required to return it.

Applicants must make the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination. By signing and submitting the application, applicants are providing the necessary certification and are not required to return it. Complete the standard forms and the associated certifications and assurances based on the instructions on the forms. The forms and certifications may be found at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

Private, non-profit organizations are encouraged to submit with their applications the survey located under *Grant Related Documents and Forms: Survey for Private, Non-Profit Grant Applicants, titled, Survey on Ensuring Equal Opportunity for Applicants,* at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

Those organizations required to provide proof of non-profit status, please refer to *Section III.3*.

Please see *Section V.1* for instructions on preparing the full project description.

Please reference *Section IV.3* for details about acknowledgement of received applications.

Electronic Submission

You may submit your application to us in either electronic or paper format. To submit an application electronically, please use the http://www.Grants.gov site.

If you use Grants.gov, you will be able to download a copy of the application package, complete it off-line, and then upload and submit the application via the Grants.gov site. ACF will not accept grant applications via facsimile or email.

IMPORTANT NOTE: Before you submit an electronic application, you must complete the organization registration process as well as obtain and register "electronic signature credentials" for the Authorized Organization Representative (AOR). Since this process may take more than five business days, it is important to start this process early, well in advance of the application deadline. Be sure to complete all Grants.gov registration processes listed on the Organization Registration Checklist, which can be found at http://www.acf.hhs.gov/grants/registration_checklist.html.

Please note the following if you plan to submit your application electronically via Grants.gov:

- Electronic submission is voluntary, but strongly encouraged.
- You may access the electronic application for this program at http://www.Grants.gov. There you can search for the downloadable application package by utilizing the Grants.gov FIND function.
- We strongly recommend that you do not wait until the application deadline date to begin the application process through Grants.gov. We encourage applicants that submit electronically to submit well before the closing date and time so that if difficulties are encountered an applicant can still submit a hard copy via express mail.
- To use Grants.gov, you, as the applicant, must have a D-U-N-S number and register in the Central Contractor Registry (CCR). You should allow a minimum of five days to complete the CCR registration. REMINDER: CCR registration expires each year and thus must be updated annually. You cannot

upload an application to Grants.gov without having a current CCR registration AND electronic signature credentials for the AOR.

- The electronic application is submitted by the AOR. To submit electronically, the AOR must obtain and register electronic signature credentials approved by the organization's E-Business Point of Contact who maintains the organization's CCR registration.
- You may submit all documents electronically, including all information typically included on the SF-424 and all necessary assurances and certifications.
- Your application must comply with any page limitation requirements described in this program announcement.
- After you electronically submit your application, you will receive an automatic acknowledgement from Grants.gov that contains a Grants.gov tracking number. ACF will retrieve your application from Grants.gov.
- ACF may request that you provide original signatures on forms at a later date.
- You will not receive additional point value because you submit a grant application in electronic format, nor will we penalize you if you submit an application in hard copy.
- If you encounter difficulties in using Grants.gov, please contact the Grants.gov Help Desk at: 1-800-518-4726, or by email at <u>support@grants.gov</u> to report the problem and obtain assistance.
- Checklists and registration brochures are maintained at http://www.grants.gov/GetStarted to assist you in the registration process.
- When submitting electronically via Grants.gov, applicants must comply with all due dates AND times referenced in Section IV.3.

Hard Copy Submission

Applicants that are submitting their application in paper format should submit one original and two copies of the complete application. The original and each of the two copies must include all required forms,

certifications, assurances, and appendices, be signed by an authorized representative, have original signatures, and be unbound.

3. Submission Dates and Times:

Due Date For Letter of Intent: 06/15/2006

Due Date for Applications: 07/17/2006

Explanation of Due Dates

The due date for receipt of applications is referenced above. Applications received after 4:30 p.m., eastern time, on the due date will be classified as late and will not be considered in the current competition.

Applicants are responsible for ensuring that applications are mailed or hand-delivered or submitted electronically well in advance of the application due date and time.

Mail

Applications that are submitted by mail must be received no later than 4:30 p.m., eastern time, on the due date referenced above at the address listed in *Section IV.6*.

Hand Delivery

Applications hand carried by applicants, applicant couriers, other representatives of the applicant, or by overnight/express mail couriers must be received on or before the due date referenced above, between the hours of 8:00 a.m. and 4:30 p.m., eastern time, at the address referenced in *Section IV.6.*, between Monday and Friday (excluding Federal holidays).

Electronic Submission

Applications submitted electronically via Grants.gov must be submitted no later than 4:30 p.m., eastern time, on the due date referenced above.

ACF cannot accommodate transmission of applications by facsimile or email.

Late Applications

Applications that do not meet the requirements above are considered late applications. ACF shall notify each late applicant that its application will not be considered in the current competition.

ANY APPLICATION RECEIVED AFTER 4:30 P.M., EASTERN TIME, ON THE DUE DATE WILL NOT BE CONSIDERED FOR COMPETITION.

Extension of Deadlines

ACF may extend application deadlines when circumstances such as acts of God (floods, hurricanes, etc.) occur; when there are widespread disruptions of mail service; or in other rare cases. A determination to extend or waive deadline requirements rests with the Chief Grants Management Officer.

Receipt acknowledgement for application packages will not be provided to applicants who submit their package via mail, courier services, or by hand delivery. Applicants will receive an electronic acknowledgement for applications that are submitted via http://www.Grants.gov.

Checklist

You may use the checklist below as a guide when preparing your application package.

What to Submit	Required Content	Required Form or Format	When to Submit
Letter of Intent	See Section IV.2	Found in Section IV.2	06/15/2006
SF-424	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.
SF-424A	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.
Table of Contents	See Section IV.2	Found in Section IV.2	By application due date.
Project Abstract	See Sections IV.2 and V	Found in Sections IV.2 and V	By application due date.
Project Description	See Sections IV.2 and V	Found in Sections IV.2 and V	By application due date.
Budget Narrative/Justificatio	See Sections	Found in Sections IV.2 and V	By application

n	IV.2 and V		due date.
Support Letters	See Section IV.2	Found in Section IV.2	By application due date.
Proof of Non-Profit Status	See Section III.3	Found in Section III.3	By application due date.
SF-LLL Certification Regarding Lobbying	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.
Certification Regarding Environmental Tobacco Smoke	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.

Additional Forms

Private, non-profit organizations are encouraged to submit with their applications the survey located under *Grant Related Documents and Forms: Survey for Private, Non-Profit Grant Applicants, titled, Survey on Ensuring Equal Opportunity for Applicants,* at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

What to	Required	Required Form or Format	When to
Submit	Content		Submit
Survey for Private, Non- Profit Grant Applicants	See form.	See http://www.acf.hhs.gov/programs/ofs/forms.htm	By application due date.

4. Intergovernmental Review:

State Single Point of Contact (SPOC)

This program is covered under Executive Order (EO) 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." Under the Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

As of August 1, 2005, the following jurisdictions have elected to participate in the EO process: Arkansas, California, Delaware, District of Columbia, Florida, Georgia, Illinois, Iowa, Kentucky, Maine, Maryland, Michigan, Mississippi, Missouri, Nevada, New Hampshire, New York, North Dakota, Rhode Island, South Carolina, Texas, Utah, West Virginia, Wisconsin, American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and U.S. Virgin Islands. As these jurisdictions have elected to participate in the Executive Order process, they have established SPOCs. Applicants from participating jurisdictions should contact their SPOC, as soon as possible, to alert them of prospective applications and receive instructions. Applicants must submit all required materials, if any, to the SPOC and indicate the date of this submittal (or the date of contact if no submittal is required) on the Standard Form 424, item 16a.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application deadline to comment on proposed new or competing continuation awards. SPOCs are encouraged to eliminate the submission of routine endorsements as official recommendations. Additionally, SPOCs are requested to clearly differentiate between mere advisory comments and those official State process recommendations, which may trigger the "accommodate or explain" rule.

When comments are submitted directly to ACF, they should be addressed to the U.S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade SW., 4th floor, Washington, DC 20447.

Although the remaining jurisdictions have chosen not to participate in the process, entities that meet the eligibility requirements of the program are still eligible to apply for a grant even if a State, Territory, Commonwealth, etc. does not have a SPOC. Therefore, applicants from these jurisdictions, or for projects administered by Federally recognized Indian Tribes, need take no action in regard to EO 12372.

The official list, including addresses, of the jurisdictions that have elected to participate in EO 12372 can be found on the following URL: http://www.whitehouse.gov/omb/grants/spoc.html.

5. Funding Restrictions:

Grant awards will not allow reimbursement of pre-award costs.

Construction and purchase of real property are not allowable activities or expenditures under this grant award.

Sub-Contracting or Delegating Projects

ACF will not fund any project where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant. The applicant must have a substantive role in the implementation of the project for which funding is requested. Subpart E - Special Provisions for Awards to Commercial Organizations (45 CFR 74.81) provides that, except for awards under the Small Business Innovation Research (SBIR) and Small Business Technology Transfer Research (STTR) programs, no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs.

Thus, Federal funds received as a result of this announcement cannot be paid as profit (i.e., any amount in excess of allowable direct and indirect costs of the recipient) to grantees or selected community organizations.

6. Other Submission Requirements:

Please see *Sections IV.2* and *IV.3* for deadline information and other application requirements.

Submit applications to one of the following addresses:

Submission by Mail

OFA Operations Center c/o The Dixon Group 118 Q Street, NE Office of Family Assistance Washington, DC 20002 Phone: 866-770-8458

Email: ofa@dixongroup.com

Hand Delivery

OFA Operations Center c/o The Dixon Group 118 Q Street, NE

Attn: Office of Family Assistance

Washington, DC 20002 Phone: 866-770-8458

Email: ofa@dixongroup.com

Electronic Submission

Please see *Section IV.2* for guidelines and requirements when submitting applications electronically via http://www.Grants.gov.

V. APPLICATION REVIEW INFORMATION

The Paperwork Reduction Act of 1995 (P.L. 104-13)

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed and reviewing the collection information.

The project description is approved under OMB control number 0970-0139, which expires 4/30/2007.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

1. Criteria:

Part I THE PROJECT DESCRIPTION OVERVIEW

PURPOSE

The project description provides the majority of information by which an application is evaluated and ranked in competition with other applications for available assistance. The project description should be concise and complete. It should address the activity for which Federal funds are being requested. Supporting documents should be included where they can present information clearly and succinctly. In preparing the project description, information that is responsive to each of the requested evaluation criteria must be provided. Awarding offices use this and other information in making their funding recommendations. It is important, therefore, that this information be included in the application in a manner that is clear and complete.

GENERAL EXPECTATIONS AND INSTRUCTIONS

ACF is particularly interested in specific project descriptions that focus on outcomes and convey strategies for achieving intended performance. Project descriptions are evaluated on the basis of substance and measurable outcomes, not length. Extensive exhibits are not required. Cross-referencing should be used rather than repetition. Supporting information concerning activities that will not be

directly funded by the grant or information that does not directly pertain to an integral part of the grant-funded activity should be placed in an appendix.

Pages should be numbered and a table of contents should be included for easy reference.

Part II GENERAL INSTRUCTIONS FOR PREPARING A FULL PROJECT DESCRIPTION

INTRODUCTION

Applicants that are required to submit a full project description shall prepare the project description statement in accordance with the following instructions while being aware of the specified evaluation criteria. The text options give a broad overview of what the project description should include while the evaluation criteria identify the measures that will be used to evaluate applications.

PROJECT SUMMARY/ABSTRACT

Provide a summary of the project description (one page or less) with reference to the funding request.

OBJECTIVES AND NEED FOR ASSISTANCE

Clearly identify the physical, economic, social, financial, institutional, and/or other problem(s) requiring a solution. The need for assistance must be demonstrated and the principal and subordinate objectives of the project must be clearly stated; supporting documentation, such as letters of support and testimonials from concerned interests other than the applicant, may be included. Any relevant data based on planning studies should be included or referred to in the endnotes/footnotes. Incorporate demographic data and participant/beneficiary information, as needed. In developing the project description, the applicant may volunteer or be requested to provide information on the total range of projects currently being conducted and supported (or to be initiated), some of which may be outside the scope of the program announcement.

APPROACH

Outline a plan of action that describes the scope and detail of how the proposed work will be accomplished. Account for all functions or activities identified in the application. Cite factors that might accelerate or decelerate the work and state your reason for taking the proposed approach rather than others. Describe any unusual features of the

project such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvement.

Provide quantitative monthly or quarterly projections of the accomplishments to be achieved for each function or activity in such terms as the number of people to be served and the number of activities accomplished.

When accomplishments cannot be quantified by activity or function, list them in chronological order to show the schedule of accomplishments and their target dates.

If any data is to be collected, maintained, and/or disseminated, clearance may be required from the OMB. This clearance pertains to any "collection of information that is conducted or sponsored by ACF."

Provide a list of organizations, cooperating entities, consultants, or other key individuals who will work on the project along with a short description of the nature of their effort or contribution.

EVALUATION

Provide a narrative addressing how the conduct of the project and the results of the project will be evaluated. In addressing the evaluation of results, state how you will determine the extent to which the project has achieved its stated objectives and the extent to which the accomplishment of objectives can be attributed to the project. Discuss the criteria to be used to evaluate results, and explain the methodology that will be used to determine if the needs identified and discussed are being met and if the project results and benefits are being achieved. With respect to the conduct of the project, define the procedures to be employed to determine whether the project is being conducted in a manner consistent with the work plan presented and discuss the impact of the project's various activities that address the project's effectiveness.

ADDITIONAL INFORMATION

The following are requests for additional information that must be included in the application:

ORGANIZATIONAL PROFILES

Provide information on the applicant organization(s) and cooperating partners, such as: organizational charts; financial statements; audit reports or statements from Certified Public Accountants/Licensed Public Accountants; Employer Identification Number(s); contact

persons and telephone numbers; names of bond carriers; child care licenses and other documentation of professional accreditation; information on compliance with Federal/State/local government standards; documentation of experience in the program area; and, other pertinent information.

If the applicant is a non-profit organization, it should submit proof of its non-profit status in its application. The non-profit agency can accomplish this by providing any one of the following: a) a reference to the applicant organization's listing in the IRS's most recent list of tax-exempt organizations described in the IRS Code; b) a copy of a currently valid IRS tax exemption certificate; c) a statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has a non-profit status and that none of the net earnings accrues to any private shareholders or individuals; d) a certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status; or e) any of the items immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.

BUDGET AND BUDGET JUSTIFICATION

Provide a budget with line-item detail and detailed calculations for each budget object class identified on the Budget Information Form (SF-424A or SF-424C). Detailed calculations must include estimation methods, quantities, unit costs, and other similar quantitative detail sufficient for the calculation to be duplicated. If matching is a requirement, include a breakout by the funding sources identified in Block 15 of the SF-424.

Provide a narrative budget justification that describes how the categorical costs are derived. Discuss the necessity, reasonableness, and allocation of the proposed costs.

GENERAL

Use the following guidelines for preparing the budget and budget justification. Both Federal and non-Federal resources (when required) shall be detailed and justified in the budget and budget narrative justification. "Federal resources" refers only to the ACF grant funds for which you are applying. "Non-Federal resources" are all other non-ACF Federal and non-Federal resources. It is suggested that budget amounts and computations be presented in a columnar format: first column, object class categories; second column, Federal budget; next

column(s), non-Federal budget(s); and last column, total budget. The budget justification should be in a narrative form.

PERSONNEL

Description: Costs of employee salaries and wages.

Justification: Identify the project director or principal investigator, if known at the time of application. For each staff person, provide: the title; time commitment to the project in months; time commitment to the project as a percentage or full-time equivalent; annual salary; grant salary; wage rates; etc. Do not include the costs of consultants, personnel costs of delegate agencies, or of specific project(s) and/or businesses to be financed by the applicant.

FRINGE BENEFITS

Description: Costs of employee fringe benefits unless treated as part of an approved indirect cost rate.

Justification: Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, FICA, retirement insurance, taxes, etc.

TRAVEL

Description: Costs of project-related travel by employees of the applicant organization. (This item does not include costs of consultant travel).

Justification: For each trip show: the total number of traveler(s); travel destination; duration of trip; per diem; mileage allowances, if privately owned vehicles will be used; and other transportation costs and subsistence allowances. Travel costs for key staff to attend ACF-sponsored workshops should be detailed in the budget.

EQUIPMENT

Description: "Equipment" means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost that equals or exceeds the lesser of: (a) the capitalization level established by the organization for the financial statement purposes, or (b) \$5,000. (Note: Acquisition cost means the net invoice unit price of an item of equipment, including the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it is acquired.

Ancillary charges, such as taxes, duty, protective in-transit insurance, freight, and installation, shall be included in or excluded

from acquisition cost in accordance with the organization's regular written accounting practices.)

Justification: For each type of equipment requested provide: a description of the equipment; the cost per unit; the number of units; the total cost; and a plan for use on the project; as well as use and/or disposal of the equipment after the project ends. An applicant organization that uses its own definition for equipment should provide a copy of its policy, or section of its policy, that includes the equipment definition.

SUPPLIES

Description: Costs of all tangible personal property other than that included under the Equipment category.

Justification: Specify general categories of supplies and their costs. Show computations and provide other information that supports the amount requested.

CONTRACTUAL

Description: Costs of all contracts for services and goods except for those that belong under other categories such as equipment, supplies, construction, etc. Include third-party evaluation contracts, if applicable, and contracts with secondary recipient organizations, including delegate agencies and specific project(s) and/or businesses to be financed by the applicant.

Justification: Demonstrate that all procurement transactions will be conducted in a manner to provide, to the maximum extent practical, open and free competition. Recipients and subrecipients, other than States that are required to use 45 CFR Part 92 procedures, must justify any anticipated procurement action that is expected to be awarded without competition and exceeds the simplified acquisition threshold fixed at 41 USC 403(11), currently set at \$100,000.

Recipients might be required to make available to ACF pre-award review and procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc.

Note: Whenever the applicant intends to delegate part of the project to another agency, the applicant must provide a detailed budget and budget narrative for each delegate agency, by agency title, along with the required supporting information referred to in these instructions.

INDIRECT CHARGES

Description: Total amount of indirect costs. This category should be used only when the applicant currently has an indirect cost rate approved by the Department of Health and Human Services (HHS) or another cognizant Federal agency.

Justification: An applicant that will charge indirect costs to the grant must enclose a copy of the current rate agreement. If the applicant organization is in the process of initially developing or renegotiating a rate, upon notification that an award will be made, it should immediately develop a tentative indirect cost rate proposal based on its most recently completed fiscal year, in accordance with the cognizant agency's guidelines for establishing indirect cost rates, and submit it to the cognizant agency. Applicants awaiting approval of their indirect cost proposals may also request indirect costs. When an indirect cost rate is requested, those costs included in the indirect cost pool should not be charged as direct costs to the grant. Also, if the applicant is requesting a rate that is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed.

EVALUATION CRITERIA:

The following evaluation criteria appear in weighted descending order. The corresponding score values indicate the relative importance that ACF places on each evaluation criterion; however, applicants need not develop their applications precisely according to the order presented. Application components may be organized such that a reviewer will be able to follow a seamless and logical flow of information (i.e., from a broad overview of the project to more detailed information about how it will be conducted).

In considering how applicants will carry out the responsibilities addressed under this announcement, competing applications for financial assistance will be reviewed and evaluated against the following criteria:

APPROACH - 40 points

1. Timeline, action plan and activities. (20 Points)

 The activities in the action plan reflect a highly innovative, cutting-edge approach that directly supports the authorized activity areas selected to be included in the project. (4 points)

- The action plan is well-designed and specifies what will be done, who (e.g., individuals and organizations) will do it and when it will be accomplished. The plan describes how the accomplishment of project milestones and other outcomes will be documented. The extent to which the approach describes in sufficient detail, the relationship between the applicant and the selected community organizations. The action plan will describe how the applicant will collaborate with faith-based and community organizations to ensure the delivery of services. The action plan will describe how the funds provided to faith-based and community organizations for service delivery will be administered and monitored. The role and function of each organization will support the overall mission of the project to promote responsible fatherhood. (8 points)
- There is a reasonable timeline for implementing the proposed project and any sub-projects. The timeline includes the activities to be conducted in chronological order, showing a reasonable schedule of project milestones and target dates and the factors that may accelerate or decelerate the work. (8 points)

2. Project rationale and strategies to overcome barriers. (15 Points)

- The proposed activities are logical given the characteristics and needs of the target organization(s) and the outcomes to be achieved. The rationale for the approach is based on demonstrated understanding of the target population and the need for the proposed activities. (5 points)
- There are reasonable approaches for marketing the availability of Fatherhood Community Access funds in a manner that reflects a good understanding of the target populations and are appropriate, given the authorized activity areas to be included in the project. The approach identifies potential barriers and includes strategies for overcoming those barriers. (5 points)
- There is a clear and reasonable plan for how to refer individuals to appropriate services when the nature of their problems (e.g., mental illness, severe marital distress, drug and alcohol abuse) is beyond the scope of this grant is clear and reasonable. (3 points)
- Proposed fatherhood activities include opportunities for reinforcing skills to promote responsible fatherhood (e.g.,

refresher parenting sessions for couples, individual follow-up, reenrollment in subsequent workshops). (2 points)

3. Domestic violence, voluntary nature of program and participant diversity. (5 Points)

Applicants that fail to address these evaluation criteria will receive '0' points for the entire Approach section.

There is a detail description of how the FCA grantee will ensure that the local community organizations, to which technical and financial support is provided to, present proposals that comply with the following:

- The community organization applicant has consulted with experts in domestic violence or with relevant community domestic violence coalitions in developing programs or activities. The description of how programs or activities will address issues of domestic violence is clear and reasonable. The applicant has provided a description of the consultation process and how it informed program development. (2 points)
- Participation in programs or activities shall be voluntary. There
 is a clear and reasonable description of what the community
 organization applicant will do to ensure that participation in
 programs or activities is voluntary. There is a clear and
 reasonable description to inform potential participants that their
 involvement is voluntary. (2 points)
- The community organization applicant has provided a written commitment that funds will only be used for the three authorized activity areas. (1 Point)

ORGANIZATIONAL PROFILES - 20 points

- The project narrative and supporting documents (e.g., organizational charts, financial statements, letters of support, etc.) clearly detail the applicant organization's capabilities. Successful completion of this project is realistic given its experience with the development, implementation, administration and evaluation of similar projects. (5 points)
- 2. The project narrative and supporting documents (e.g., third-party agreements) clearly detail the roles and capabilities of any partner organizations. Successful completion of this project is

realistic given the qualifications of any partners and the nature of their relationship to the applicant organization. In cases where partners have not yet been selected, the approach and criteria that will be used to select partners are clearly described and reasonable. The financial relationships between the applicant organization and any partner organizations are clearly described and can reasonably be expected to ensure proper stewardship of Federal funds. (3 points)

- 3. The project narrative and supporting documents (e.g., resumes) clearly detail the qualifications of key staff. Successful completion of this project is realistic given the qualifications of key staff. In cases where these positions have not been filled, the approach and criteria that will be used to hire experienced and qualified staff are clearly described and reasonable. (7 points)
- 4. The qualifications of the applicant organization, any partner organizations and key staff should demonstrate the ability to:
 - Deliver services that promote responsible fatherhood and directly support the authorized activity areas to be included in the project;
 - Implement the evaluation plan;
 - Extend program outreach by eliminating barriers to accessibility and implementing strategies to recruit and retain program participants from the target population; and
 - Incorporate in plans, lessons learned for the continuation of services found to be promising. (5 points)

BUDGET AND BUDGET JUSTIFICATION - 15 points

- 1. The budget presentation is clear and detailed. The budget narrative clearly explains and justifies the budget information presented on SF-424 and SF-424A. (2 points)
- 2. The costs of the proposed project are reasonable in view of the types and range of activities to be conducted, the number of local community organizations to be served and the expected results and benefits. (5 points)

- 3. The budget narrative clearly describes the fiscal controls that will ensure the prudent use, proper disbursement and accurate accounting of funds. (2 points)
- 4. The applicant's budget reflects appropriate plans for the provision of financial support to local community organizations. (3 points)
- 5. The budget narrative clearly explains how and what sources will be used to meet the match requirement. (2 points)
- 6. The budget describes the procedures for documenting program income to ensure that it is added to the Federal funds committed to the project and used to further the objectives of the project. (1 point)

EVALUATION - 15 points

All applications under this priority area will be evaluated using the following criteria. However, if selected for inclusion in an ACF-sponsored evaluation, the grantee will not be required to conduct a separate assessment of its program.

- 1. Project milestones (e.g., number of fathers who obtained jobs, number of couples served, number of events hosted, number of newsletters sent, number of staff trained) are clearly identified. Plans for monitoring and documenting progress toward project milestones are thorough and reasonable. Plans for monitoring progress also allow for identifying barriers and catalysts to achieving milestones and making relevant adjustments as necessary. (8 points)
- 2. The expected project objectives and outcomes are clearly identified. A range of project outcomes may be observed. Basic program outcomes may be measured by surveying participants to measure their satisfaction with the program or to identify what they found most helpful. Other outcomes may include participants' knowledge of and access to other social services. More advanced evaluations may compare the measures such as marital status, quality, stability or satisfaction of participants, made at the end of the program to measures made before the program. Advanced and complex evaluation plans are not required for funding. Plans for monitoring and documenting changes in participants or community outcomes are thorough and reasonable. (4 points)
- 3. Key staff responsible for tracking progress toward project milestones and measuring the outcomes of the project possesses adequate knowledge, training, and experience. *(3 points)*

OBJECTIVES AND NEED FOR ASSISTANCE - 10 points

- 1. The definition of the problem and need for assistance are clearly stated and directly related to the Promoting Responsible Fatherhood Initiative. (4 points)
- 2. Objectives directly support the chosen set of the authorized activities to be addressed in this project. (3 points)
- 3. The organizations to be served are clearly described in terms of population size and demographic characteristics. (2 points)
- 4. The precise geographic location of the project and boundaries of the area to be served by the project are clearly described. (1 Point)

BONUS POINTS: - 5 points

BONUS POINTS: For demonstrated experience in implementing fatherhood programs and services - 5 points

<u>Evaluation Criterion for Demonstrated Fatherhood Experience</u> (5 points)

The applicant has specific experience in implementing fatherhood programs. To demonstrate this, the applicant must address the following evaluation criteria:

 The extent to which the FCA applicant has thoroughly documented previous experience in developing, implementing or managing fatherhood programs. The documentation of this experience should briefly describe the services provided, the target population, and program outcomes. (5 points)

2. Review and Selection Process:

No grant award will be made under this announcement on the basis of an incomplete application.

Panel Review

Applications that pass ACF's initial screening will be reviewed and rated by a panel of experts based on the project elements and review criteria presented in relevant sections of this program announcement.

The review criteria are designed to enable the review panel to assess the quality of a proposed project and determine the likelihood of its success. The criteria are closely related to each other and are considered as a whole in judging the overall quality of an application. The review panel awards points only to applications that are responsive to the program elements and relevant review criteria within the context of this program announcement.

ACF uses the reviewer scores when considering competing applications. Reviewer scores will weigh heavily in funding decisions, but will not be the only factors considered.

Applications generally will be considered in order of the average scores assigned by the review panel. Because other important factors are taken into consideration, highly ranked applications are not guaranteed funding. These other considerations include, for example: the geographic distribution of applications, range of target populations served (e.g., low-income, minority, immigrant, etc.), the community sectors represented, the proposed project's consistency with the three authorized activity areas, the comments of reviewers and government staff, the amount and duration of the grant requested, the projected implementation schedule, audit reports, investigative reports and the timely and proper completion by the applicant of projects previously funded with Federal funds.

Approved but Unfunded Applications

Applications that are approved but unfunded may be held over for funding in the next funding cycle, pending the availability of funds, for a period not to exceed one year.

VI. AWARD ADMINISTRATION INFORMATION

1. Award Notices:

The successful applicants will be notified through the issuance of a Financial Assistance Award document, which sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The Financial Assistance Award will be signed by the Grants Officer and transmitted via postal mail.

Organizations whose applications will not be funded will be notified in writing.

2. Administrative and National Policy Requirements:

Grantees are subject to the requirements in 45 CFR Part 74 (non-governmental) or 45 CFR Part 92 (governmental).

Federal grants under this ACF program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. States agencies awarded federal funds under the Fatherhood Community Access Grants Program are subject to the requirements in the TANF Charitable Choice Regulations. Therefore, in accordance with TANF Charitable Choice provisions State agencies awarded funds under this program will be required to establish an alternative service provider. These Charitable Choice Regulations are contained in 45 CFR Part 260, which can be accessed at: http://www.access.gpo.gov/nara/cfr/waisidx 05/45cfr260 05.html

All other entities (non-profit organizations, for-profit organizations, community organizations, institutions of higher education, etc.) awarded funds under this program are governed by the Equal Treatment for Faith-Based Organizations provisions contained in 45 CFR Part 87, which can be found at the HHS web site at: http://www.os.dhhs.gov/fbci/waisqate21.pdf.

Faith-based and community organizations may reference the "Guidance to Faith-Based and Community Organizations on Partnering with the Federal Government" at: http://www.whitehouse.gov/government/fbci/guidance/index.html.

3. Reporting Requirements:

Grantees will be required to submit program progress and financial reports (SF-269 found at:

http://www.acf.hhs.gov/programs/ofs/forms.htm) throughout the project period. Program progress and financial reports are due 30 days after the reporting period. Final programmatic and financial reports are due 90 days after the close of the project period.

Final reports may be submitted in hard copy to the Grants Management Office Contact listed in Section VII of this announcement.

Program Progress Reports: Semi-Annually

Financial Reports: Semi-Annually

All required reports must be submitted in a timely manner, in recommended formats (to be provided), and the final report must also be submitted on disk or electronically using a standard word-processing program.

VII. AGENCY CONTACTS

Program Office Contact:

Terri Ames

U.S. Department of Health and Human Services Administration for Children and Families Office of Family Assistance 370 L' Enfant Promenade, SW Aerospace Building, 5th Floor - East Washington, DC 20447

Phone: 202-401-5436 Email: Tames@acf.hhs.gov

Grants Management Office Contact:

Sylvia Johnson
U.S. Department of Health and Human Service
Administration for Children and Families
Office of Grants Management
Division of Discretionary Grants
370 L'Enfant Promenade, SW
Aerospace Building, 6th Floor-East

Washington, DC 20447 Phone: 202-401-5513

Email: <u>ACFOGME-Grants@acf.hhs.gov</u>

VIII. OTHER INFORMATION

Priority Area 2:

Fatherhood Community Access (FCA) Grants, Level 2

Description

ACF has designated \$3,000,000 for Fatherhood Community Access Grants to be awarded to States, Territories, Indian Tribes and Tribal Organizations, public and non-profit community entities, including religious organizations, for activities promoting responsible

fatherhood. These grants will be awarded in amounts up to \$1,000,000.

The FCA grantees will develop and support local community responsible fatherhood initiatives by providing technical and financial support to small community organizations in the three authorized activity areas outlined within the Responsible Fatherhood legislation.

1. Healthy Marriage

Activities to promote healthy marriage or sustain marriage, such as:

- Skill-based marriage education;
- Marriage preparation programs;
- Marital inventories;
- Premarital counseling;
- Relationship skill education with a special focus on how good relationship skills help to prepare men and women for healthy marriages;
- Counseling, mentoring and information dissemination about the benefits of marriage and two-parent involvement for children;
- Education regarding how to control aggressive behavior within the context of marriage, with a special focus on how reducing and eliminating aggressive behavior can contribute to healthy marriages; and
- Divorce education and reduction programs, including mediation and counseling.

2. Responsible Parenting

Activities to promote responsible parenting, such as:

- Skill-based parenting education;
- Disseminating information about good parenting practices;
- Counseling, mentoring, and mediation;
- Disseminating information on the causes of domestic violence and child abuse; and

• Encouraging child support payments.

3. Economic Stability

Activities to foster economic stability, such as:

- Helping fathers improve their economic status by providing activities, such as Work First services, job search, job training, subsidized employment, job retention and job enhancement; and encouraging education, including career-advancement education;
- Coordinating with existing employment services, such as welfare-to-work programs, referrals to local employment training initiatives;
- · Disseminating employment materials; and
- Offering financial planning seminars, including those that improve a family's ability to effectively manage family business affairs through education, counseling, or mentoring on matters related to family finances, including household management, budgeting, banking and handling of financial transactions and home maintenance.

For purposes of this announcement, Fatherhood Community Access (FCA) organizations may issue technical and financial support to community organizations that:

- 1. Have social services as a major part of their mission;
- 2. Are headquartered in the local community to which they provide these services:
- 3. Have a total annual operating budget of \$300,000 or less, or
- 4. Have six or fewer full-time equivalent employees.

FCA grantees may provide financial support to faith-based, local community, and grass-roots organizations in amounts up to \$50,000 for service delivery. ACF expects that at least 50% of the federal funds awarded will be used to financially support service delivery at the local community level.

FCA organizations must provide at least 70 percent of their funds to community organizations which may include grassroots or faithbased agencies. FCA grantees should fund fatherhood community access projects that are innovative, well-designed and accessible to the target group identified within the submitted proposal. ACF anticipates that the approaches proposed will reflect the unique needs and circumstances of the targeted populations. Organizations selected by the FCA grantee for technical and financial support should demonstrate that the local community organizations have consulted with a domestic violence expert or local domestic violence coalition. This consultation may be provided by the FCA grantee's domestic violence expert in the form of technical assistance.

ACF seeks to award organizations that will provide services through a collaborative approach with other community organizations serving as project partners to the ACF grantee with which they collectively provide services. Successful applicants will demonstrate an ability to coordinate with their project partners to ensure the delivery of services.

ACF is seeking applications from organizations that demonstrate an ability to work with a diverse set of project partners. For example, successful applicants may be those that partner with a number of community groups, cover a wide or particular geographic area, work with a variety of organizations, and/or utilize a number of different approaches, etc.

Recipients of the ACF awards will be accountable for the Federal grant funds received, including those dispersed to project partners. Recipients of the ACF awards will also be responsible for oversight of the various projects, programs, and activities supported by the award as required by Title 45 of the Code of Federal Regulations, Parts 74.51(a) and 92.40(a).

Successful FCA applicants will demonstrate that they have the experience and capacity to administer and effectively monitor selected organizations. ACF seeks to fund proposals that present a clear plan outlining how the FCA organization will: (1) effectively and efficiently collaborate with faith-based and community organizations in order to provide services; and (2) provide those selected organizations with technical assistance. The proposed technical and financial support to provide such services should be included in the FCA grantee's proposed budget and should be provided at no cost to the selected organizations.

FCA grantees must provide a fair and open competitive process. Selected community organizations must not be pre-selected and technical assistance should be provided to selected community organizations on an ongoing basis. Any outreach and eligibility to

organizations applying for or receiving technical and financial support from the FCA grantee must be open to both faith-based and community non-profit organizations, and not discriminate for or against an organization on the basis of the organization's religious character or affiliation.

FCA grantees must also submit plans and procedures for the issuance of any funds to selected organizations within 90 days of the receipt of an award. FCA organizations must not require applicants to provide matching funds or give them a preference in the selection process even if they offer matching funds in their applications.

FCA grantees must not require applicants to have 501(c)(3) status or to identify a sponsoring organization with 501(c)(3) status.

FCA applicants may design their proposals to be as broad or as focused as they determine necessary to meet the needs of their target populations. An applicant may choose to take a broad approach by designing a program that implements two or more of the authorized activity areas or take a focused approach and target specific populations such as youth, low-income families, unwed parents, etc. The following examples highlight some different approaches applicants may consider in implementing their fatherhood activities:

- A non-profit foundation develops a program to fund small community organizations to support parenting and relationship enhancement classes to low-income fathers.
- A State implements a program to fund local school districts within the State to implement before or after school responsible fatherhood activities for teen fathers.
- A local branch of a national charity organization develops a program for various community agencies to implement a project that encompasses any of the three authorized activity areas.

The examples above are by no means exhaustive nor are they a standard for what ACF intends to fund. The examples are offered merely to provide a vision of how innovative and flexible applicants can be in designing programs to meet the objectives of this initiative.

Funding Instrument Type: Cooperative Agreement

Substantial Involvement with Cooperative Agreement:

A cooperative agreement is a variation of a discretionary grant, which is awarded when the Federal Government anticipates substantial program management involvement with the grantee during the performance of a funded project.

This cooperative agreement will require a close working relationship between ACF and the successful FCA organization. It will be necessary for ACF to collaborate with the FCA organization to facilitate relationships and exchange information necessary to promote the Fatherhood Community Access Grants Program. ACF will work closely with the FCA organization to identify the types of technical assistance and training to be made available. ACF, together with the FCA organization will sponsor appropriate meetings to promote coordination, information sharing, program implementation and program effectiveness. ACF will work together with the FCA organization to address issues or problems with regard to the applicant's ability to effectively and efficiently carry out the full range of activities included in the applicant's proposal.

The FCA organization selected to receive an award will be responsible for implementing activities described in the project description of the approved application; developing and implementing any work plans requested by ACF that will ensure that the services and activities included in the approved application address the needs of community organizations in an efficient, effective, and timely manner; submitting for Federal review and approval, within 90 days of receipt of the Financial Assistance Award and prior to the issuance of any plans and procedures for funding any community organization(s) activities; submitting regular semi-annual financial status and progress reports that describe project activities; working cooperatively and collaboratively with ACF officials, other Federal agency officials conducting related activities, and other entities or organizations contracted by ACF to assist in carrying out the purposes of the Fatherhood Community Access program; and ensuring that key staff attend and participate in ACF-sponsored workshops and meetings, including the initial entrance conference.

ACF will receive, review and provide prior approval of the successful applicant's plans and procedures for the issuance of any assistance (e.g. financial, technical). ACF will facilitate collaboration between and

among organizations that conduct Fatherhood and Healthy Marriage related activities.

Funding Instrument Type: Cooperative Agreement

Anticipated Total Priority Area

Funding:

\$3,000,000

Anticipated Number of Awards: 2 to 3

Ceiling on Amount of Individual

Awards:

\$1,000,000 per budget period

Floor on Amount of Individual

Awards:

\$900,000 per budget period

Average Projected Award Amount: \$1,000,000 per budget period

Length of Project Periods: 60-month project with five 12-month

budget periods

This announcement invites applications for five-year project periods (up to \$2,000,000 per year for five years). In the first year of the project, grants will be awarded on a competitive basis. Continuation grant applications will be considered on a noncompetitive basis for years two through five subject to availability of funds, satisfactory progress of the grantee, and a determination that continued funding would be in the best interest of the Federal Government. Grants will be awarded for one-year budget periods throughout the project.

Applicants should also note that any program income generated through this grant must be added to the Federal funds committed to the project and used to further the objectives of the project as outlined in 45 CFR 74.24(b).

Awards under this announcement are subject to the availability of funds.

III. ELIGIBILITY INFORMATION

1. Eligible Applicants:

State governments

- County governments
- City or township governments
- Special district governments
- Independent school districts
- Public and State-controlled institutions of higher education
- Native American Tribal governments (Federally recognized)
- Native American Organizations (other than Federally recognized tribal governments)
- Public housing authorities/Indian housing authorities
- Non-profits having a 501(c)(3) status with the IRS, other than institutions of higher education
- Non-profits that do not have 501(c)(3) status with the IRS
- Others (See below)

Faith-based and community organizations that meet the statutory eligibility requirements are eligible to apply under this announcement.

2. Cost Sharing or Matching: Yes

Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. For example, in order to meet the match requirements, a project with a total approved project cost of \$1,000,000, requesting \$900,000 in ACF funds, must provide a non-Federal share of at least \$100,000 (10 percent of total approved project cost of \$1,000,000.) Grantees will be held accountable for commitments of non-Federal resources even if they exceed the amount of the required match. Failure to provide the required amount will result in the disallowance of Federal funds. A lack of supporting documentation at the time of application will not exclude the application from competitive review.

3. Other:

D-U-N-S Requirement

All applicants must have a D&B Data Universal Numbering System (D-U-N-S) number. On June 27, 2003, the Office of Management and Budget (OMB) published in the *Federal Register* a new Federal policy applicable to all Federal grant applicants. The policy requires Federal grant applicants to provide a D-U-N-S number when applying for Federal grants or cooperative agreements on or after October 1, 2003. The D-U-N-S number will be required whether an applicant is submitting a paper application or using the government-wide

electronic portal, <u>Grants.gov</u>. A D-U-N-S number will be required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement, and block grant programs, submitted on or after October 1, 2003.

Please ensure that your organization has a D-U-N-S number. You may acquire a D-U-N-S number at no cost by calling the dedicated toll-free D-U-N-S number request line at 1-866-705-5711 or you may request a number on-line at http://www.dnb.com.

Proof of Non-Profit Status

Non-profit organizations applying for funding are required to submit proof of their non-profit status.

Proof of non-profit status is any one of the following:

- A reference to the applicant organization's listing in the IRS's most recent list of tax-exempt organizations described in the IRS Code.
- A copy of a currently valid IRS tax-exemption certificate.
- A statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.
- Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.

When applying electronically, we strongly suggest that you attach your proof of non-profit status with your electronic application.

Private, non-profit organizations are encouraged to submit with their applications the survey located under *Grant Related Documents and Forms: Survey for Private, Non-Profit Grant Applicants, titled, Survey on Ensuring Equal Opportunity for Applicants,* at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

Disqualification Factors

Applications that exceed the ceiling amount will be deemed non-responsive and will not be considered for funding under this announcement.

Any application that fails to satisfy the deadline requirements referenced in *Section IV.3* will be deemed non-responsive and will not be considered for funding under this announcement.

IV. APPLICATION AND SUBMISSION INFORMATION

1. Address to Request Application Package:

OFA Operations Center c/o The Dixon Group 118 Q Street, NE Office of Family Assistance Washington, DC 20002 Phone: 866-770-8458

Email: ofa@dixongroup.com

2. Content and Form of Application Submission:

Letters of Intent

Applicants are strongly encouraged to notify the OFA Operations Center, c/o The Dixon, Group, 118 Q Street, NE, Office of Family Assistance, Washington, DC 20002, or via e-mail: ofa@dixongroup.com, of their intention to submit an application under this announcement. Please submit the letter of intent by the deadline date listed in *Section IV.3*.

The letter of intent should include the number and title of this announcement, the applicant's organizational name and address, one to two sentences stating that the applicant organization intends to submit an application for this grant, and the contact person's name, phone number, fax number and email address.

Letter of intent information will be used to determine the number of expert reviewers needed to evaluate applications. Failure to submit a letter of intent will not affect eligibility to submit an application and will not disqualify an application from competitive review.

Application Content

Each application must include the following components and in the following order:

- 1. Table of Contents.
- 2. Abstract of Proposed Project A very brief description not to exceed 250 words. The abstract would be suitable for use in an announcement that the application has been selected for a grant award and identifies the type of project, the target population and the major elements of the work plan. Use plain language that is easy for non-experts to understand.
- 3. Application for Federal Assistance, SF-424 Must be completed and signed by an official of the organization applying for the grant who has authority to obligate the organization legally.
- 4. Budget Information, Non-Construction Programs, SF-424A Must be completed.
- 5. Project Narrative A narrative that addresses the criteria described in *Section V.1*, Criteria.
- 6. Narrative Budget Justification A narrative that addresses criteria described in *Section V.1*, Criteria.
- 7. Supporting Documents These may include organizational charts, financial statements, letters of support, third-party agreements and resumes of key staff. The content to include in the supporting documents is described in *Section V.1*, Criteria.
- 8. Additional Certification, Assurances

Page Limitation

The total length of the application (including SF -424 and SF-424A, project abstract, table of contents, budget information, project narrative) and supporting documents (e.g., letters of support, third-party agreements, resumes or additional certification, assurance and disclosure forms) must not exceed 60 pages in length. Any pages exceeding this limit will be removed and not provided for panel review.

Application Format

Submit application materials on white 81/2 x 11 inch paper only. Do not use colored, oversized or folded materials.

Please do not include organizational brochures or other promotional materials, slides, films, clips, etc.

A standard font such as Times New Roman must be used. The font size must not be smaller than 12-point. The margins must be at least one inch on all sides. Project and budget narrative sections must be double-spaced.

Number all application pages sequentially throughout the package, beginning with the abstract of the proposed project as page number one. All application pages including government forms and attachments should be numbered.

Arrange all materials in the order listed in the **Application Content** section above.

Applicants are encouraged to use job titles and not specific names in developing the application budget. However, specific salary rates or amounts for staff positions identified must be included in the application budget.

Supporting documents (e.g., letters of support, third-party agreements, resumes) should follow the same general guidelines but may be single-spaced. Letters of support may use the supporting organizations' letterhead. If copies of third-party agreements are lengthy, the applicant may substitute an annotated list of those agreements briefly summarizing with whom the agreement is, the scope of work to be performed, work schedules and remuneration, and any other core aspects of the agreement that defines the nature of the relationship.

Forms and Certifications

The project description should include all the information requirements described in the specific evaluation criteria outlined in this program announcement under *Section V.* Application Review Information. In addition to the project description, the applicant needs to complete all of the Standard Forms required as part of the application process for awards under this announcement.

Applicants seeking financial assistance under this announcement must file the appropriate Standard Forms as described in this section. All applicants must submit SF-424, Application for Federal Assistance. For non-construction programs, applicants must also submit SF-424A, Budget Information and SF-424B, Assurances. For construction programs, applicants must also submit SF-424C, Budget Information and SF-424D, Assurances. The forms may be reproduced for use in

submitting applications. Applicants must sign and return the standard forms with their application.

Applicants must furnish prior to award an executed copy of the SF-LLL, Certification Regarding Lobbying, when applying for an award in excess of \$100,000. Applicants who have used non-Federal funds for lobbying activities in connection with receiving assistance under this announcement shall complete a disclosure form, if applicable, with their application. Applicants must sign and return the certification with their application.

Applicants must also understand that they will be held accountable for the smoking prohibition included within Public Law (P.L.) 103-227, Title XII Environmental Tobacco Smoke (also known as the PRO-KIDS Act of 1994). A copy of the *Federal Register* notice that implements the smoking prohibition is included with this form. By signing and submitting the application, applicants are providing the necessary certification and are not required to return it.

Applicants must make the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination. By signing and submitting the application, applicants are providing the necessary certification and are not required to return it. Complete the standard forms and the associated certifications and assurances based on the instructions on the forms. The forms and certifications may be found at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

Private, non-profit organizations are encouraged to submit with their applications the survey located under *Grant Related Documents and Forms: Survey for Private, Non-Profit Grant Applicants, titled, Survey on Ensuring Equal Opportunity for Applicants,* at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

Those organizations required to provide proof of non-profit status, please refer to *Section III.3*.

Please see *Section V.1* for instructions on preparing the full project description.

Please reference *Section IV.3* for details about acknowledgement of received applications.

Electronic Submission

You may submit your application to us in either electronic or paper format. To submit an application electronically, please use the http://www.Grants.gov site.

If you use Grants.gov, you will be able to download a copy of the application package, complete it off-line, and then upload and submit the application via the Grants.gov site. ACF will not accept grant applications via facsimile or email.

IMPORTANT NOTE: Before you submit an electronic application, you must complete the organization registration process as well as obtain and register "electronic signature credentials" for the Authorized Organization Representative (AOR). Since this process may take more than five business days, it is important to start this process early, well in advance of the application deadline. Be sure to complete all Grants.gov registration processes listed on the Organization Registration Checklist, which can be found at http://www.acf.hhs.gov/grants/registration_checklist.html.

Please note the following if you plan to submit your application electronically via Grants.gov:

- Electronic submission is voluntary, but strongly encouraged.
- You may access the electronic application for this program at http://www.Grants.gov. There you can search for the downloadable application package by utilizing the Grants.gov FIND function.
- We strongly recommend that you do not wait until the application deadline date to begin the application process through Grants.gov. We encourage applicants that submit electronically to submit well before the closing date and time so that if difficulties are encountered an applicant can still submit a hard copy via express mail.
- To use Grants.gov, you, as the applicant, must have a D-U-N-S number and register in the Central Contractor Registry (CCR). You should allow a minimum of five days to complete the CCR registration. REMINDER: CCR registration expires each year and thus must be updated annually. You cannot upload an application to Grants.gov without having a current CCR registration AND electronic signature credentials for the AOR.
- The electronic application is submitted by the AOR. To submit electronically, the AOR must obtain and register electronic signature credentials approved by the organization's E-Business

Point of Contact who maintains the organization's CCR registration.

- You may submit all documents electronically, including all information typically included on the SF-424 and all necessary assurances and certifications.
- Your application must comply with any page limitation requirements described in this program announcement.
- After you electronically submit your application, you will receive an automatic acknowledgement from Grants.gov that contains a Grants.gov tracking number. ACF will retrieve your application from Grants.gov.
- ACF may request that you provide original signatures on forms at a later date.
- You will not receive additional point value because you submit a grant application in electronic format, nor will we penalize you if you submit an application in hard copy.
- If you encounter difficulties in using Grants.gov, please contact the Grants.gov Help Desk at: 1-800-518-4726, or by email at <u>support@grants.gov</u> to report the problem and obtain assistance.
- Checklists and registration brochures are maintained at http://www.grants.gov/GetStarted to assist you in the registration process.
- When submitting electronically via Grants.gov, applicants must comply with all due dates AND times referenced in Section IV.3.

Hard Copy Submission

Applicants that are submitting their application in paper format should submit one original and two copies of the complete application. The original and each of the two copies must include all required forms, certifications, assurances, and appendices, be signed by an authorized representative, have original signatures, and be unbound.

3. Submission Dates and Times:

Due Date For Letter of Intent: 06/15/2006

Due Date for Applications: 07/17/2006

Explanation of Due Dates

The due date for receipt of applications is referenced above. Applications received after 4:30 p.m., eastern time, on the due date will be classified as late and will not be considered in the current competition.

Applicants are responsible for ensuring that applications are mailed or hand-delivered or submitted electronically well in advance of the application due date and time.

Mail

Applications that are submitted by mail must be received no later than 4:30 p.m., eastern time, on the due date referenced above at the address listed in *Section IV.6*.

Hand Delivery

Applications hand carried by applicants, applicant couriers, other representatives of the applicant, or by overnight/express mail couriers must be received on or before the due date referenced above, between the hours of 8:00 a.m. and 4:30 p.m., eastern time, at the address referenced in *Section IV.6.*, between Monday and Friday (excluding Federal holidays).

Electronic Submission

Applications submitted electronically via Grants.gov must be submitted no later than 4:30 p.m., eastern time, on the due date referenced above.

ACF cannot accommodate transmission of applications by facsimile or email.

Late Applications

Applications that do not meet the requirements above are considered late applications. ACF shall notify each late applicant that its application will not be considered in the current competition.

ANY APPLICATION RECEIVED AFTER 4:30 P.M., EASTERN TIME, ON THE DUE DATE WILL NOT BE CONSIDERED FOR COMPETITION.

Extension of Deadlines

ACF may extend application deadlines when circumstances such as acts of God (floods, hurricanes, etc.) occur; when there are

widespread disruptions of mail service; or in other rare cases. A determination to extend or waive deadline requirements rests with the Chief Grants Management Officer.

Receipt acknowledgement for application packages will not be provided to applicants who submit their package via mail, courier services, or by hand delivery. Applicants will receive an electronic acknowledgement for applications that are submitted via http://www.Grants.gov.

Checklist

You may use the checklist below as a guide when preparing your application package.

What to Submit	Required Content	Required Form or Format	When to Submit
Letter of Intent	See Section IV.2	Found in Section IV.2	06/15/2006
SF-424	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.
SF-424A	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/forms.htm	By application due date.
Table of Contents	See Section IV.2	Found in Section IV.2	By application due date.
Project Abstract	See Sections IV.2 and V	Found in Sections IV.2 and V	By application due date.
Project Description	See Sections IV.2 and V	Found in Sections IV.2 and V	By application due date.
Budget Narrative/Justificatio n	See Sections IV.2 and V	Found in Sections IV.2 and V	By application due date.
Support Letters	See Section IV.2	Found in Section IV.2	By application due date.
Proof of Non-Profit Status	See Section	Found in Section III.3	By application

			due date.
SF-LLL Certification Regarding Lobbying	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.
Certification Regarding Environmental Tobacco Smoke	See Section IV.2	See http://www.acf.hhs.gov/programs/ofs/for ms.htm	By application due date.

Additional Forms

Private, non-profit organizations are encouraged to submit with their applications the survey located under *Grant Related Documents and Forms: Survey for Private, Non-Profit Grant Applicants, titled, Survey on Ensuring Equal Opportunity for Applicants,* at: http://www.acf.hhs.gov/programs/ofs/forms.htm.

What to	Required	Required Form or Format	When to
Submit	Content		Submit
Survey for Private, Non- Profit Grant Applicants	See form.	See http://www.acf.hhs.gov/programs/ofs/forms.htm	By application due date.

4. Intergovernmental Review:

State Single Point of Contact (SPOC)

This program is covered under Executive Order (EO) 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." Under the Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

As of August 1, 2005, the following jurisdictions have elected to participate in the EO process: Arkansas, California, Delaware, District of Columbia, Florida, Georgia, Illinois, Iowa, Kentucky, Maine, Maryland, Michigan, Mississippi, Missouri, Nevada, New Hampshire, New York, North Dakota, Rhode Island, South Carolina, Texas, Utah, West Virginia, Wisconsin, American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and U.S. Virgin Islands. As these jurisdictions

have elected to participate in the Executive Order process, they have established SPOCs. Applicants from participating jurisdictions should contact their SPOC, as soon as possible, to alert them of prospective applications and receive instructions. Applicants must submit all required materials, if any, to the SPOC and indicate the date of this submittal (or the date of contact if no submittal is required) on the Standard Form 424, item 16a.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application deadline to comment on proposed new or competing continuation awards. SPOCs are encouraged to eliminate the submission of routine endorsements as official recommendations. Additionally, SPOCs are requested to clearly differentiate between mere advisory comments and those official State process recommendations, which may trigger the "accommodate or explain" rule.

When comments are submitted directly to ACF, they should be addressed to the U.S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade SW., 4th floor, Washington, DC 20447.

Although the remaining jurisdictions have chosen not to participate in the process, entities that meet the eligibility requirements of the program are still eligible to apply for a grant even if a State, Territory, Commonwealth, etc. does not have a SPOC. Therefore, applicants from these jurisdictions, or for projects administered by Federally recognized Indian Tribes, need take no action in regard to EO 12372.

The official list, including addresses, of the jurisdictions that have elected to participate in EO 12372 can be found on the following URL: http://www.whitehouse.gov/omb/grants/spoc.html.

5. Funding Restrictions:

Grant awards will not allow reimbursement of pre-award costs.

Construction and purchase of real property are not allowable activities or expenditures under this grant award.

Sub-Contracting or Delegating Projects

ACF will not fund any project where the role of the applicant is primarily to serve as a conduit for funds to organizations other than the applicant. The applicant must have a substantive role in the implementation of the project for which funding is requested.

Subpart E - Special Provisions for Awards to Commercial Organizations (45 CFR 74.81) provides that, except for awards under the Small Business Innovation Research (SBIR) and Small Business Technology Transfer Research (STTR) programs, no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs.

Thus, Federal funds received as a result of this announcement cannot be paid as profit (i.e., any amount in excess of allowable direct and indirect costs of the recipient) to grantees or selected community organizations.

6. Other Submission Requirements:

Please see *Sections IV.2* and *IV.3* for deadline information and other application requirements.

Submit applications to one of the following addresses:

Submission by Mail

OFA Operations Center c/o The Dixon Group 118 Q Street, NE Office of Family Assistance Washington, DC 20002 Phone: 866-770-8458

Email: ofa@dixongroup.com

Hand Delivery

OFA Operations Center c/o The Dixon Group 118 Q Street, NE

Attn: Office of Family Assistance

Washington, DC 20002 Phone: 866-770-8458

Email: ofa@dixongroup.com

Electronic Submission

Please see *Section IV.2* for guidelines and requirements when submitting applications electronically via http://www.Grants.gov.

V. APPLICATION REVIEW INFORMATION

The Paperwork Reduction Act of 1995 (P.L. 104-13)

Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed and reviewing the collection information.

The project description is approved under OMB control number 0970-0139, which expires 4/30/2007.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

1. Criteria:

Part I THE PROJECT DESCRIPTION OVERVIEW

PURPOSE

The project description provides the majority of information by which an application is evaluated and ranked in competition with other applications for available assistance. The project description should be concise and complete. It should address the activity for which Federal funds are being requested. Supporting documents should be included where they can present information clearly and succinctly. In preparing the project description, information that is responsive to each of the requested evaluation criteria must be provided. Awarding offices use this and other information in making their funding recommendations. It is important, therefore, that this information be included in the application in a manner that is clear and complete.

GENERAL EXPECTATIONS AND INSTRUCTIONS

ACF is particularly interested in specific project descriptions that focus on outcomes and convey strategies for achieving intended performance. Project descriptions are evaluated on the basis of substance and measurable outcomes, not length. Extensive exhibits are not required. Cross-referencing should be used rather than repetition. Supporting information concerning activities that will not be directly funded by the grant or information that does not directly pertain to an integral part of the grant-funded activity should be placed in an appendix.

Pages should be numbered and a table of contents should be included for easy reference.

Part II GENERAL INSTRUCTIONS FOR PREPARING A FULL PROJECT DESCRIPTION

INTRODUCTION

Applicants that are required to submit a full project description shall prepare the project description statement in accordance with the following instructions while being aware of the specified evaluation criteria. The text options give a broad overview of what the project description should include while the evaluation criteria identify the measures that will be used to evaluate applications.

PROJECT SUMMARY/ABSTRACT

Provide a summary of the project description (one page or less) with reference to the funding request.

OBJECTIVES AND NEED FOR ASSISTANCE

Clearly identify the physical, economic, social, financial, institutional, and/or other problem(s) requiring a solution. The need for assistance must be demonstrated and the principal and subordinate objectives of the project must be clearly stated; supporting documentation, such as letters of support and testimonials from concerned interests other than the applicant, may be included. Any relevant data based on planning studies should be included or referred to in the endnotes/footnotes. Incorporate demographic data and participant/beneficiary information, as needed. In developing the project description, the applicant may volunteer or be requested to provide information on the total range of projects currently being conducted and supported (or to be initiated), some of which may be outside the scope of the program announcement.

APPROACH

Outline a plan of action that describes the scope and detail of how the proposed work will be accomplished. Account for all functions or activities identified in the application. Cite factors that might accelerate or decelerate the work and state your reason for taking the proposed approach rather than others. Describe any unusual features of the project such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvement.

Provide quantitative monthly or quarterly projections of the accomplishments to be achieved for each function or activity in such terms as the number of people to be served and the number of activities accomplished.

When accomplishments cannot be quantified by activity or function, list them in chronological order to show the schedule of accomplishments and their target dates.

If any data is to be collected, maintained, and/or disseminated, clearance may be required from the OMB. This clearance pertains to any "collection of information that is conducted or sponsored by ACF."

Provide a list of organizations, cooperating entities, consultants, or other key individuals who will work on the project along with a short description of the nature of their effort or contribution.

EVALUATION

Provide a narrative addressing how the conduct of the project and the results of the project will be evaluated. In addressing the evaluation of results, state how you will determine the extent to which the project has achieved its stated objectives and the extent to which the accomplishment of objectives can be attributed to the project. Discuss the criteria to be used to evaluate results, and explain the methodology that will be used to determine if the needs identified and discussed are being met and if the project results and benefits are being achieved. With respect to the conduct of the project, define the procedures to be employed to determine whether the project is being conducted in a manner consistent with the work plan presented and discuss the impact of the project's various activities that address the project's effectiveness.

ADDITIONAL INFORMATION

The following are requests for additional information that must be included in the application:

ORGANIZATIONAL PROFILES

Provide information on the applicant organization(s) and cooperating partners, such as: organizational charts; financial statements; audit reports or statements from Certified Public Accountants/Licensed Public Accountants; Employer Identification Number(s); contact persons and telephone numbers; names of bond carriers; child care licenses and other documentation of professional accreditation; information on compliance with Federal/State/local government standards; documentation of experience in the program area; and, other pertinent information.

If the applicant is a non-profit organization, it should submit proof of its non-profit status in its application. The non-profit agency can

accomplish this by providing any one of the following: a) a reference to the applicant organization's listing in the IRS's most recent list of tax-exempt organizations described in the IRS Code; b) a copy of a currently valid IRS tax exemption certificate; c) a statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has a non-profit status and that none of the net earnings accrues to any private shareholders or individuals; d) a certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status; or e) any of the items immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.

BUDGET AND BUDGET JUSTIFICATION

Provide a budget with line-item detail and detailed calculations for each budget object class identified on the Budget Information Form (SF-424A or SF-424C). Detailed calculations must include estimation methods, quantities, unit costs, and other similar quantitative detail sufficient for the calculation to be duplicated. If matching is a requirement, include a breakout by the funding sources identified in Block 15 of the SF-424.

Provide a narrative budget justification that describes how the categorical costs are derived. Discuss the necessity, reasonableness, and allocation of the proposed costs.

GENERAL

Use the following guidelines for preparing the budget and budget justification. Both Federal and non-Federal resources (when required) shall be detailed and justified in the budget and budget narrative justification. "Federal resources" refers only to the ACF grant funds for which you are applying. "Non-Federal resources" are all other non-ACF Federal and non-Federal resources. It is suggested that budget amounts and computations be presented in a columnar format: first column, object class categories; second column, Federal budget; next column(s), non-Federal budget(s); and last column, total budget. The budget justification should be in a narrative form.

PERSONNEL

Description: Costs of employee salaries and wages.

Justification: Identify the project director or principal investigator, if known at the time of application. For each staff person, provide: the

title; time commitment to the project in months; time commitment to the project as a percentage or full-time equivalent; annual salary; grant salary; wage rates; etc. Do not include the costs of consultants, personnel costs of delegate agencies, or of specific project(s) and/or businesses to be financed by the applicant.

FRINGE BENEFITS

Description: Costs of employee fringe benefits unless treated as part of an approved indirect cost rate.

Justification: Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, FICA, retirement insurance, taxes, etc.

TRAVEL

Description: Costs of project-related travel by employees of the applicant organization. (This item does not include costs of consultant travel).

Justification: For each trip show: the total number of traveler(s); travel destination; duration of trip; per diem; mileage allowances, if privately owned vehicles will be used; and other transportation costs and subsistence allowances. Travel costs for key staff to attend ACF-sponsored workshops should be detailed in the budget.

EQUIPMENT

Description: "Equipment" means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost that equals or exceeds the lesser of: (a) the capitalization level established by the organization for the financial statement purposes, or (b) \$5,000. (Note: Acquisition cost means the net invoice unit price of an item of equipment, including the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it is acquired.

Ancillary charges, such as taxes, duty, protective in-transit insurance, freight, and installation, shall be included in or excluded from acquisition cost in accordance with the organization's regular written accounting practices.)

Justification: For each type of equipment requested provide: a description of the equipment; the cost per unit; the number of units; the total cost; and a plan for use on the project; as well as use and/or disposal of the equipment after the project ends. An applicant organization that uses its own definition for equipment should provide

a copy of its policy, or section of its policy, that includes the equipment definition.

SUPPLIES

Description: Costs of all tangible personal property other than that included under the Equipment category.

Justification: Specify general categories of supplies and their costs. Show computations and provide other information that supports the amount requested.

CONTRACTUAL

Description: Costs of all contracts for services and goods except for those that belong under other categories such as equipment, supplies, construction, etc. Include third-party evaluation contracts, if applicable, and contracts with secondary recipient organizations, including delegate agencies and specific project(s) and/or businesses to be financed by the applicant.

Justification: Demonstrate that all procurement transactions will be conducted in a manner to provide, to the maximum extent practical, open and free competition. Recipients and subrecipients, other than States that are required to use 45 CFR Part 92 procedures, must justify any anticipated procurement action that is expected to be awarded without competition and exceeds the simplified acquisition threshold fixed at 41 USC 403(11), currently set at \$100,000.

Recipients might be required to make available to ACF pre-award review and procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc.

Note: Whenever the applicant intends to delegate part of the project to another agency, the applicant must provide a detailed budget and budget narrative for each delegate agency, by agency title, along with the required supporting information referred to in these instructions.

INDIRECT CHARGES

Description: Total amount of indirect costs. This category should be used only when the applicant currently has an indirect cost rate approved by the Department of Health and Human Services (HHS) or another cognizant Federal agency.

Justification: An applicant that will charge indirect costs to the grant must enclose a copy of the current rate agreement. If the applicant organization is in the process of initially developing or renegotiating a

rate, upon notification that an award will be made, it should immediately develop a tentative indirect cost rate proposal based on its most recently completed fiscal year, in accordance with the cognizant agency's guidelines for establishing indirect cost rates, and submit it to the cognizant agency. Applicants awaiting approval of their indirect cost proposals may also request indirect costs. When an indirect cost rate is requested, those costs included in the indirect cost pool should not be charged as direct costs to the grant. Also, if the applicant is requesting a rate that is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed.

EVALUATION CRITERIA:

The following evaluation criteria appear in weighted descending order. The corresponding score values indicate the relative importance that ACF places on each evaluation criterion; however, applicants need not develop their applications precisely according to the order presented. Application components may be organized such that a reviewer will be able to follow a seamless and logical flow of information (i.e., from a broad overview of the project to more detailed information about how it will be conducted).

In considering how applicants will carry out the responsibilities addressed under this announcement, competing applications for financial assistance will be reviewed and evaluated against the following criteria:

APPROACH - 40 points

1. Timeline, action plan and activities. (20 Points)

- The activities in the action plan reflect a highly innovative, cutting-edge approach that directly supports the authorized activity areas selected to be included in the project. (4 points)
- The action plan is well-designed and specifies what will be done, who (e.g., individuals and organizations) will do it and when it will be accomplished. The plan describes how the accomplishment of project milestones and other outcomes will be documented. The extent to which the approach describes in sufficient detail, the relationship between the applicant and the selected community organizations. The action plan will describe how the applicant will collaborate with faith-based and community organizations to ensure the delivery of services. The

action plan will describe how the funds provided to local community organizations for service delivery will be administered and monitored. The role and function of each organization will support the overall mission of the project to promote responsible fatherhood. (8 points)

 There is a reasonable timeline for implementing the proposed project and any sub-projects. The timeline includes the activities to be conducted in chronological order, showing a reasonable schedule of project milestones and target dates and the factors that may accelerate or decelerate the work. (8 points)

2. Project rationale and strategies to overcome barriers. (15 Points)

- The proposed activities are logical given the characteristics and needs of the target organization(s) and the outcomes to be achieved. The rationale for the approach is based on demonstrated understanding of the target population and the need for the proposed activities. (5 points)
- There are reasonable approaches for marketing the availability of Fatherhood Community Access funds in a manner that reflects a good understanding of the target populations and are appropriate, given the authorized activity areas to be included in the project. The approach identifies potential barriers and includes strategies for overcoming those barriers. (5 points)
- There is a clear and reasonable plan for how to refer individuals to appropriate services when the nature of their problems (e.g., mental illness, severe marital distress, drug and alcohol abuse) is beyond the scope of this grant is clear and reasonable. (3 points)
- Proposed fatherhood activities include opportunities for reinforcing skills to promote responsible fatherhood (e.g., refresher parenting sessions for couples, individual follow-up, reenrollment in subsequent workshops). (2 points)

3. Domestic violence, voluntary nature of program and participant diversity. (5 Points)

Applicants that fail to address these evaluation criteria will receive '0' points for the entire Approach section.

There is a detail description of how the FCA grantee will ensure that the local community organizations, to which technical and financial support is provided to, present proposals that comply with the following:

- The community organization applicant has consulted with experts in domestic violence or with relevant community domestic violence coalitions in developing programs or activities. The description of how programs or activities will address issues of domestic violence is clear and reasonable. The applicant has provided a description of the consultation process and how it informed program development. (2 points)
- Participation in programs or activities shall be voluntary. There
 is a clear and reasonable description of what the community
 organization applicant will do to ensure that participation in
 programs or activities is voluntary. There is a clear and
 reasonable description to inform potential participants that their
 involvement is voluntary. (2 points)
- The community organization applicant has provided a written commitment that funds will only be used for the three authorized activity areas. (1 Point)

ORGANIZATIONAL PROFILES - 20 points

- 1. The project narrative and supporting documents (e.g., organizational charts, financial statements, letters of support, etc.) clearly detail the applicant organization's capabilities. Successful completion of this project is realistic given its experience with the development, implementation, administration and evaluation of similar projects. (5 points)
- 2. The project narrative and supporting documents (e.g., third-party agreements) clearly detail the roles and capabilities of any partner organizations. Successful completion of this project is realistic given the qualifications of any partners and the nature of their relationship to the applicant organization. In cases where partners have not yet been selected, the approach and criteria that will be used to select partners are clearly described and reasonable. The financial relationships between the applicant organization and any partner organizations are clearly described and can reasonably be expected to ensure proper stewardship of Federal funds. (3 points)

- 3. The project narrative and supporting documents (e.g., resumes) clearly detail the qualifications of key staff. Successful completion of this project is realistic given the qualifications of key staff. In cases where these positions have not been filled, the approach and criteria that will be used to hire experienced and qualified staff are clearly described and reasonable. (7 points)
- 4. The qualifications of the applicant organization, any partner organizations and key staff should demonstrate the ability to:
 - Deliver services that promote responsible fatherhood and directly support the authorized activity areas to be included in the project;
 - Implement the evaluation plan;
 - Extend program outreach by eliminating barriers to accessibility and implementing strategies to recruit and retain program participants from the target population; and
 - Incorporate in plans, lessons learned for the continuation of services found to be promising. (5 points)

BUDGET AND BUDGET JUSTIFICATION - 15 points

- 1. The budget presentation is clear and detailed. The budget narrative clearly explains and justifies the budget information presented on SF-424 and SF-424A. (2 points)
- 2. The costs of the proposed project are reasonable in view of the types and range of activities to be conducted, the number of local community organizations to be served and the expected results and benefits. (5 points)
- 3. The budget narrative clearly describes the fiscal controls that will ensure the prudent use, proper disbursement and accurate accounting of funds. (2 points)
- 4. The applicant's budget reflects appropriate plans for the provision of financial support to local community organizations. (3 points)
- 5. The budget narrative clearly explains how and what sources will be used to meet the match requirement. (2 points)

6. The budget describes the procedures for documenting program income to ensure that it is added to the Federal funds committed to the project and used to further the objectives of the project. (1 point)

EVALUATION - 15 points

All applications under this priority area will be evaluated using the following criteria. However, if selected for inclusion in an ACF-sponsored evaluation, the grantee will not be required to conduct a separate assessment of its program.

- 1. Project milestones (e.g., number of fathers who obtained jobs, number of couples served, number of events hosted, number of newsletters sent, number of staff trained) are clearly identified. Plans for monitoring and documenting progress toward project milestones are thorough and reasonable. Plans for monitoring progress also allow for identifying barriers and catalysts to achieving milestones and making relevant adjustments as necessary. (8 points)
- 2. The expected project objectives and outcomes are clearly identified. A range of project outcomes may be observed. Basic program outcomes may be measured by surveying participants to measure their satisfaction with the program or to identify what they found most helpful. Other outcomes may include participants' knowledge of and access to other social services. More advanced evaluations may compare the measures such as marital status, quality, stability or satisfaction of participants, made at the end of the program to measures made before the program. Advanced and complex evaluation plans are not required for funding. Plans for monitoring and documenting changes in participants or community outcomes are thorough and reasonable. (4 points)
- 3. Key staff responsible for tracking progress toward project milestones and measuring the outcomes of the project possesses adequate knowledge, training, and experience. (3 points)

OBJECTIVES AND NEED FOR ASSISTANCE - 10 points

- 1. The definition of the problem and need for assistance are clearly stated and directly related to the Promoting Responsible Fatherhood Initiative. (4 points)
- 2. Objectives directly support the chosen set of the authorized activities to be addressed in this project. (3 points)
- 3. The organizations to be served are clearly described in terms of population size and demographic characteristics. (2 points)

4. The precise geographic location of the project and boundaries of the area to be served by the project are clearly described. (1 Point)

BONUS POINTS - 5 points

BONUS POINTS: For demonstrated experience in implementing fatherhood programs and services - 5 points

Evaluation Criterion for Demonstrated Fatherhood Experience (5 points)

The applicant has specific experience in implementing fatherhood programs. To demonstrate this, the applicant must address the following evaluation criteria:

 The extent to which the FCA applicant has thoroughly documented previous experience in developing, implementing or managing fatherhood programs. The documentation of this experience should briefly describe the services provided, the target population, and program outcomes. (5 points)

2. Review and Selection Process:

No grant award will be made under this announcement on the basis of an incomplete application.

Panel Review

Applications that pass ACF's initial screening will be reviewed and rated by a panel of experts based on the project elements and review criteria presented in relevant sections of this program announcement.

The review criteria are designed to enable the review panel to assess the quality of a proposed project and determine the likelihood of its success. The criteria are closely related to each other and are considered as a whole in judging the overall quality of an application. The review panel awards points only to applications that are responsive to the program elements and relevant review criteria within the context of this program announcement.

ACF uses the reviewer scores when considering competing applications. Reviewer scores will weigh heavily in funding decisions, but will not be the only factors considered.

Applications generally will be considered in order of the average scores assigned by the review panel. Because other important factors are taken into consideration, highly ranked applications are not

guaranteed funding. These other considerations include, for example: the geographic distribution of applications, range of target populations served (e.g., low-income, minority, immigrant, etc.), the community sectors represented, the proposed project's consistency with the three authorized activity areas, the comments of reviewers and government staff, the amount and duration of the grant requested, the projected implementation schedule, audit reports, investigative reports and the timely and proper completion by the applicant of projects previously funded with Federal funds.

Approved but Unfunded Applications

Applications that are approved but unfunded may be held over for funding in the next funding cycle, pending the availability of funds, for a period not to exceed one year.

VI. AWARD ADMINISTRATION INFORMATION

1. Award Notices:

The successful applicants will be notified through the issuance of a Financial Assistance Award document, which sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The Financial Assistance Award will be signed by the Grants Officer and transmitted via postal mail.

Organizations whose applications will not be funded will be notified in writing.

2. Administrative and National Policy Requirements:

Grantees are subject to the requirements in 45 CFR Part 74 (non-governmental) or 45 CFR Part 92 (governmental).

Federal grants under this ACF program shall not be used to support inherently religious activities such as religious instruction, worship, or proselytization. Therefore, organizations must take steps to separate, in time or location, their inherently religious activities from the services funded under this program. States agencies awarded federal funds under the Fatherhood Community Access Grants Program are subject to the requirements in the TANF Charitable Choice Regulations.

Therefore, in accordance with TANF Charitable Choice provisions State agencies awarded funds under this program will be required to establish an alternative service provider. These Charitable Choice Regulations are contained in 45 CFR Part 260, which can be accessed at: http://www.access.gpo.gov/nara/cfr/waisidx_05/45cfr260_05.html

All other entities (non-profit organizations, for-profit organizations, community organizations, institutions of higher education, etc.) awarded funds under this program are governed by the Equal Treatment for Faith-Based Organizations provisions contained in 45 CFR Part 87, which can be found at the HHS web site at: http://www.os.dhhs.gov/fbci/waisqate21.pdf.

Faith-based and community organizations may reference the "Guidance to Faith-Based and Community Organizations on Partnering with the Federal Government" at:

http://www.whitehouse.gov/government/fbci/guidance/index.html.

3. Reporting Requirements:

Grantees will be required to submit program progress and financial reports (SF-269 found at:

http://www.acf.hhs.gov/programs/ofs/forms.htm) throughout the project period. Program progress and financial reports are due 30 days after the reporting period. Final programmatic and financial reports are due 90 days after the close of the project period.

Final reports may be submitted in hard copy to the Grants Management Office Contact listed in Section VII of this announcement.

Program Progress Reports: Semi-Annually

Financial Reports: Semi-Annually

All required reports must be submitted in a timely manner, in recommended formats (to be provided), and the final report must also be submitted on disk or electronically using a standard word-processing program.

VII. AGENCY CONTACTS

Program Office Contact:

Terri Ames

U.S. Department of Health and Human Services Administration for Children and Families Office of Family Assistance 370 L' Enfant Promenade, SW Aerospace Building, 5th Floor - Ea Washington, DC 20447

Phone: 202-401-5436 Email: <u>Tames@acf.hhs.gov</u>

Grants Management Office Contact:

Sylvia Johnson
U.S. Department of Health and Human Serv
ACF - Office of Grants Management
Division of Discretionary Grants
370 L' Enfant Promenade, SW.
Aerospace Building, 6th Floor-East
Washington, DC 20447

Washington, DC 20447 Phone: 202-401-5513

Email: <u>ACFOGME-Grants@acf.hhs.gov</u>

VIII. OTHER INFORMATION

Date: 06/01/2006 Sidonie Squier

Director

Office of Family Assistance